ISO New England Inc. Transmission, Markets and Services Tariff

ISO New England

ISO New England Inc. Transmission, Markets and Services Tariff

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| ATTACHIVILIT C | |

ATTACHMENT C

Mapping Document

ISO New England - ISO New England Inc. Transmission, Markets and Services Tariff

Mapping Document

| Key to document: | |
|---------------------------|---|
| TOA: | Transmission Operating Agreement between Transmission |
| | Owners and the ISO |
| Participants Agreement: ` | Participants Agreement among the ISO, the New England Power |
| | Pool, and the entities that are from time to time parties thereto |
| | constituting the Individual Participants. |
| Tariff: | Transmission, Markets and Services Tariff |
| | - Section I: General Terms and Conditions |
| | - Exhibits IA, IB, IC, ID: Financial Assurance and Billing |
| | Policies |
| | - Section II: OATT |
| | - Section III: Market Rule I |
| | - Section IV: Recovery of ISO Administrative Expenses and |
| | Capital Funding Arrangements |
| | - Attachment A: MPSA (see below) |
| MPSA: | Market Participant Service Agreement (Attachment A to Tariff) |
| ISO Code of Conduct: | ISO Code of Conduct |

Rates Design and Funds Disbursement Agreement among

Participating Transmission Owners

Disbursement Agreement:

| Current Document | RTO Governing Document(s) |
|---|--|
| INTERIM ISO AGREEMENT | |
| ARTICLE 1: PURPOSE | Participants Agreement (Section 2) TOA (Recitals) |
| ARTICLE 2: DEFINITIONS | Particpants Agreement (Section 1) TOA (Schedule 1.01) |
| ARTICLE 3: TERM | Participants Agreement (Section 4) TOA (Section 10.01(a)) |
| ARTICLE 4: AGREEMENT ADMINISTRATION | |
| Section 4.1: Equipment, Facilities & Personnel | Tariff (Section I, Section 3.2) MPSA (Article 3) TOA (Sections 2.01, 3.06, 3.08) |
| Section 4.2: Representative of the ISO | N/A |
| Section 4.3: Representatives of NEPOOL | Participants Agreement (Section 5.1) |
| Section 4.4: Consultation | Participants Agreement (Section 5.2) |
| Section 4.5 Joint Committees | Participants Agreement (Section 5.3 |
| ARTICLE 5: QUALIFICATIONS OF THE ISO | |
| Section 5.1: ISO Board | Participants Agreement (Section 9.2.1) |
| Section 5.2: Composition | Participants Agreement (Section 9.2.2) |
| Section 5.3: ISO Staff | N/A |
| Section 5.4: Conflict of Interest Policy | ISO Code of Conduct |
| Section 5.5(a): Non-profit Entity | TOA (Section 6.08) |
| Section 5.5(b) | N/A |
| Section 5.6: Advisory Committee | N/A |
| ARTICLE 6: RIGHTS AND OBLIGATIONS OF ISO | |
| Section 6.1: Operation of the System | Tariff (Section I) Tariff (Section III – Market Rule 1) MPSA (Article 3) TOA (Article III) |
| Section 6.2: Administration of Transmission and | Market Rule 1 |
| Market Arrangements | Tariff (Section II) TOA (Article III) |
| Section 6.3: System Planning | Tariff (Section II) TOA (Section 3.09) |
| Section 6.4: Market Assessment | Market Rule 1 (Appendix A) Tariff (Section I) Participants Agreement (Section 9.4) |

| Current Document | RTO Governing Document(s) |
|--|--|
| Section 6.5: Facilities and Equipment | TOA (Article II) |
| Section 6.6: System Reliability | Tariff (Section I) |
| | TOA (Sections 2.06, 3.05) |
| Section 6.7: Emergency Power | Tariff (Section II) |
| Section 6.8: Maintenance Scheduling | Tariff (Section I) |
| | TOA (Section 3.08) |
| Section 6.9: System Restoration | Tariff (Section II) |
| | TOA (Section 3.02) |
| Section 6.10: Interconnection Contracts | Sections 2.05, 3.07) |
| Section 6.11: Satellites | TOA (Sections 3.02 and 3.06) |
| Section 6.12: Dissemination of Information | Participants Agreement (Sections 10, 16 and 17.1) |
| | TOA (Section 11.09) |
| | Tariff (Section I) |
| Section 6.13: OASIS | Tariff (Section II) |
| | TOA (Section 3.03) |
| Section 6.14: Code of Conduct | ISO Code of Conduct |
| Section 6.15: Annual Report and Performance Audit | Participants Agreement (Section 15) |
| Section 6.16: Financial Statements | Participants Agreement (Section 16) |
| | TOA (Section 6.02) |
| Section 6.17: System Rules and Procedures | Participants Agreement (Section 11.2) |
| | Tariff and/or Market Rules |
| Section 6.18: Subcontractors and Consultants | TOA (Article 3) N/A |
| Section 6.18: Subcontractors and Consultants | IN/A |
| ARTICLE 7: RIGHTS AND OBLIGATIONS OF NEPOOL AND THE NEPOOL PARTICIPANTS | |
| Section 7.1: Operation of Facilities | Tariff (Section II) |
| | Tariff (Section III - Market Rule 1) |
| | MPSA (Article 3) |
| | TOA (Articles II and III) |
| Section 7.2: Provision of Information | Tariff (Section I, Section 3.5) |
| | TOA (Section 11.09) |
| | Participants Agreement (Sections 10, 16 and 17.1) |
| Section 7.3: Development of System Rules and | Participants Agreement (Section 11) |
| Procedures | TOA (Article III) |
| Section 7.4: Payment for Services | Tariff (Section IV) |
| Section 7.5: Payment for Audits | Tariff (Section IV) |
| Section 7.6: Emergency Actions | Tariff (Section II) Tariff (Section III Market Puls 1) |
| | Tariff (Section III - Market Rule 1) |
| Costion 7.7. Dogwood to ICO Assessment | TOA (Sections 3.02 and 3.06) |
| Section 7.7: Response to ISO Assessment | N/A |
| | |

| Current Document | RTO Governing Document(s) |
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| ARTICLE 8: ISO BUDGET | |
| Section 8.1: First Operating Year | N/A |
| Section 8.2: Preparation of Annual Budget | Participants Agreement (Section 12.1) |
| Section 8.3: Review of Budget | Participants Agreement (Section 12.2) |
| Section 8.4: Budget Disputes | N/A |
| Section 8.5: Changes to the Budget | N/A |
| Section 8.6: Dispute Resolution | N/A |
| Section 8.7: New Initiatives | N/A |
| Section 8.8: Obligation to Pay | N/A |
| Section 8.9: Fees for Scheduling, System Control and | N/A |
| Dispatch Service | |
| Section 8.10: Additional ISO Surcharges | N/A |
| | |
| ARTICLE 9: BILLING SERVICES | |
| Section 9.1: Billing Agent | MPSA (Section Sections 4.1 and 5.1) TOA (Section 3.10) Tariff (Section II) |
| Costion 0.2. Monthly Dilling | Tariff (Section II) |
| Section 9.2: Monthly Billing Section 9.3: Payment Disputes | |
| Section 9.4: Failure to Pay | |
| Section 5.4: Failure to Fay | |
| ARTICLE 10: LIABILITY, INDEMNIFICATION AND INSURANCE | |
| Section 10.1: Liability of ISO | Participants Agreement (Section 17.4.1) Tariff (Section I) TOA (Article IX) |
| Section 10.2: Liability of NEPOOL Participants | Participants Agreement (Section 17.4.1) Tariff (Section I) TOA (Article IX)(liability of TOs) |
| Section 10.3: Limitation of Liability | Participants Agreement (Section 17.4.1) Tariff (Section I) TOA (Article IX) |
| Section 10.4: Indemnification | Tariff (Section I) TOA (Article IX) |
| Section 10.5: Insurance | TOA (Article IX) |
| ARTICLE 11: FORCE MAJEURE | |
| Section 11.1: Obligations Excused | Participants Agreement (Section 17.4.2) Tariff (Section I) TOA (Section 11.13) |
| Section 11.2: Notice of [Force Majeure] Event | Participants Agreement (Section 17.4.2) Tariff (Section I) TOA (Section 11.13) |

| Current Document | RTO Governing Document(s) |
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| ARTICLE 12: DISPUTE RESOLUTION | |
| Section 12.1: Mediation and Arbitration | Participants Agreement (Section 17.3) TOA (Section 11.14) |
| Section 12.2: FERC Jurisdiction | All |
| ARTICLE 13: ISO TERMINATION OR RESIGNATION | |
| Section 13.1: ISO Default | TOA (Section 10.03) |
| Section 13.2: Removal Vote | N/A |
| Section 13.3: Individual Party Concern with ISO | Participants Agreement (Sections 10 & 11) |
| Section 13.4: Selection of New ISO | N/A |
| Section 13.5: Transition | N/A |
| Section 13.6: Breach of Contractual Obligations by NEPOOL Participants | Tariff (Section II) TOA (Section 10.04) |
| Section 13.7: Resignation by the ISO | TOA (Section 10.01(c)) |
| Section 13.8: Effect of Removal, Resignation or Assignment | TOA (Article X) |
| Section 13.9: Fundamental Changes | TOA (Section 10.01) |
| ARTICLE 14: GOVERNING LAW | Participants Agreement (Section 17.5) TOA (Section 11.11) |
| ARTICLE 15: NOTICES | Participants Agreement (Section 17.11) TOA (Section 11.01) |
| ARTICLE 16: SUCCESSORS AND ASSIGNS | Participants Agreement (Section 17.7) TOA (Section 11.08) |
| ARTICLE 17: RELATIONSHIP OF THE PARTIES | Participants Agreement (Section 17.8) TOA (Section 11.17) |
| ARTICLE 18: WAIVER | Participants Agreement (Section 17.9) TOA (Section 11.03) |
| ARTICLE 19: SEVERABILITY | Participants Agreement (Section 17.10) |
| ARTICLE 20: HEADINGS | Participants Agreement (Section 1.2) TOA (Section 11.16) |
| ARTICLE 21: COUNTERPARTS | Participants Agreement (Section 17.13) TOA (Section 11.18) |
| ARTICLE 22: ENTIRE AGREEMENT | Participants Agreement TOA (Section 11.02) |

| Current Document | RTO Governing Document(s) |
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| ARTICLE 23: AMENDMENT | Participants Agreement (Section 17.2) TOA (Section 11.04) |
| RESTATED NEPOOL AGREEMENT ("RNA") (note: | |
| the mapping document does not address provisions | • |
| retained in the Second RNA) | |
| ARTICLE 1: DEFINITIONS | Participants Agreement (Section 1) |
| | TOA, as applicable (Schedule 1.01) |
| ARTICLE 2: PURPOSE | Participants Agreement (Section 2) |
| | TOA (Recitals) |
| | |
| ARTICLE 3: MEMBERSHIP | |
| Section 3.1: Membership | Participants Agreement (Section 6.2) |
| Section 3.2: Operations Outside the Control Area | TOA (Article 2) |
| Section 3.3: Lack of Place of Business in New England | Participants Agreement (Section 17.6) |
| Section 3.4: Obligation for Deferred Expenses | N/A |
| Section 3.5: Financial Security | Tariff (Exhibits IA, IB, IC, ID) |
| A DETACLE A COMPANYOR OF DATE | |
| ARTICLE 4: STATUS OF PARTICIPANTS | |
| Section 4.1: Treatment of Certain Entities as Single Participant | Participants Agreement (Section 6.3.2) |
| Section 4.2: Participants to Retain Separate Identities | Participants Agreement (Section 17.8) |
| ARTICLE 5: NEPOOL OBJECTIVES AND | |
| | |
| COOPERATION BY PARTICIPANTS | Touist (Continue I) |
| Section 5.1: NEPOOL Objectives | Tariff (Section I) N/A |
| Section 5.2: Cooperation by Participants | IN/A |
| PART TWO: GOVERNANCE | |
| | |
| ARTICLE 6: COMMITTEE ORGANIZATION AND VOTING | |
| Section 6.1: Principal Committees | Participants Agreement (Section 8.3) |
| Section 6.2: Sector Representation | Participants Agreement (Section 7.2) |
| Section 6.3: Appointment of Members and Alternates | Participants Agreement (Section 8.3.1) |
| Section 6.4: Terms of Members | Participants Agreement (Section 8.3.2) |
| Section 6.5: Regular and Special Meetings | Participants Agreement (Section 8.3.3) |
| Section 6.6: Notice of Meetings | Participants Agreement (Section 8.3.4) |
| Section 6.7: Attendance | Participants Agreement (Section 8.3.5) |
| Section 6.8: Quorum | Participants Agreement (Section 8.3.6) |
| | |
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| Current Document | RTO Governing Document(s) |
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| Section 6.9: Voting Definition | Participants Agreement (Section 1) |
| Section 6.10: Voting on Proposed Actions | Participants Agreement (Section 8.3.7) |
| Section 6.11: Voting on Amendments | Participants Agreement (Section (17.2.3) |
| | TOA (Section 11.04) |
| Section 6.12: Designated Representatives and Proxies | Participants Agreement (Section 8.3.8) |
| Section 6.13: Limits on Representatives | Participants Agreement (Section 8.3.9) |
| Section 6.14: Adoption of Bylaws | N/A |
| Section 6.15: Joint Meetings of Technical Committees | Participants Agreement (Section 8.2.5) |
| | |
| ARTICLE 7: PARTICIPANTS COMMITTEE | Participants Agreement (Section 8.1) |
| | |
| ARTICLE 8: RELIABILITY COMMITTEE | Participants Agreement (Section 8.2.3) |
| | |
| ARTICLE 9: TARIFF COMMITTEE | Participants Agreement (Section 8.2.4) |
| | |
| ARTICLE 10: MARKETS COMMITTEE | Participants Agreement (Section 8.2.2) |
| | |
| ARTICLE 11: FURTHER RESTRUCTURING | N/A |
| | |
| ARTICLE 11A: Review Board | N/A |
| | |
| ADDITION TO A LABOR OF THE STATE OF THE STAT | TO 4 (G 11 04) Pi 1 |
| ARTICLE 11B: Transmission Owners Committee | TOA (Section 11.04), Disbursement Agreement |
| A DETECT TO A LOCAL COLOR | NY/A |
| ARTICLE 11C: Liaison Committee | N/A |
| DA DE EMPEE ANA DIVEE DE OMIGIONO | T : (C (C |
| PART THREE: MARKET PROVISIONS | Tariff (Section III - Market Rule 1) |
| A DELICITE 14 ANGELL LED CADADA PARA | TI 'CC (C'. III M. 1 (D. 1.1 (C'. O)) |
| ARTICLE 12: INSTALLED CAPABILITY | Tariff (Section III - Market Rule 1 (Section 8)) |
| OBLIGATIONS AND PAYMENTS | |
| ADTICLE 12. ODED ATION CENTED ATION | |
| ARTICLE 13: OPERATION, GENERATION, OTHER RESOURCES, AND INTERRUPTIBLE | |
| CONTRACTS | |
| Section 13.1: Maintenance and Operation in | Tariff (Section I) |
| Accordance with Good Utility Practice | Tariff (Section 1) |
| Section 13.2: Central Dispatch | Tariff (Section I) |
| | Tariff (Section I) |
| Section 13.3: Maintenance and Repair | Tariff (Section III - Market Rule 1) |
| Section 13.4: Objectives of Day-to-Day System Operation | Tariff (Section I) |
| | TOA (Articles 2 and 3 & Schedule 10.05) |
| Section 13.5: Satellite Membership | TOA (Articles 2 and 3 & schedule 10.03) |

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| ARTICLE 14: Interchange Transactions | N/A |
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| ARTICLE 14A: Participant Market Transactions on and after SMD Effective Date | Tariff (Section III - Market Rule 1) Tariff MPSA |
| DADE FOLD ED ANGMIGGION DO OVIGIONG | |
| PART FOUR: TRANSMISSION PROVISIONS | |
| ARTICLE 15: OPERATION OF TRANSMISSION FACILITIES | TOA (Articles II and III) Tariff (Section II) |
| ARTICLE 16: SERVICE UNDER TARIFF | |
| Section 16.1: Effect of Tariff | Tariff (Section II) TOA (Section 3.04) |
| Section 16.2: Obligation to Provide Regional Service | Tariff (Section II) TOA (Section 3.03) |
| Section 16.3: Obligation to Provide Local Network Service | Tariff (Section II) TOA (Section 3.03) |
| Section 16.4: Transmission Service Availability | Tariff (Section II) TOA (Sections 3.03 and 3.05) |
| Section 16.5: Transmission Information | Tariff (Section II) TOA (Section 3.05) |
| Section 16.6: Distribution of Transmission Revenues | Tariff (Section III - Market Rule 1) TOA (Section 3.10) |
| A DELCT E 17 DOOL DI ANNED UNITE CEDIUCE | DT/A |
| ARTICLE 17: POOL-PLANNED UNIT SERVICE | N/A |
| ARTICLE 17A: Transmission Owners Reserved Rights | TOA (Sections 3.04 and 3.07) |
| PART FIVE: GENERAL | |
| ARTICLE 18: GENERATION AND TRANSMISSION FACILITIES | |
| Section 18.1: Designation of Pool-Planned Facilities | N/A |
| Section 18.2: Construction of Facilities | Tariff (Section I) TOA (Article II and Section 3.09) |
| Section 18.3: Protective Devices for Transmission Facilities and Automatic Generation Control | Tariff (Section I) TOA (Article III) |
| Section 18.4: Review of Participant's Proposed Plans | Tariff (Section I) TOA (Section 2.06) |
| Section 18.5: Participant to Avoid Adverse Effect | Tariff (Section I) TOA (Section 2.06) |

| Current Document | RTO Governing Document(s) |
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| ARTICLE 19: EXPENSES | |
| Section 19.1: Annual Fee | Participants Agreement (Section 14.1) |
| Section 19.2: NEPOOL Expenses | Tariff (Section IV.A) (Recovery of Administrative Expenses) |
| Section 19.3: Restructuring Costs | Participants Agreement (Section 14) Tariff (Section IV.B) (Capital Funding Arrangements) |
| ARTICLE 20: INDEPENDENT SYSTEM OPERATOR | Participants Agreement (Sections 2.3 and 6.1) Tariff (Section IV - RTO Administrative Tariff) Tariff (other) TOA |
| ARTICLE 21: MISCELLANEOUS PROVISIONS | |
| Section 21.1: Alternative Dispute Resolution | Participants Agreement (Section 17.3) Tariff (Section I) |
| Section 21.2: Payment of Pool Charges; Termination Status as Participant | Tariff (Section I, Exhibits IA, IB, IC, ID) TOA (Article 8) |
| Section 21.3: Assignment | Participants Agreement (Section 17.7) TOA (Section 11.08) |
| Section 21.4: Force Majeure | Participants Agreement (Section 17.4.2) TOA (Section 11.13) |
| Section 21.5: Waiver of Defaults | Participants Agreement (Section 17.9) TOA (Section 11.03) |
| Section 21.6: Other Contracts | TOA (Section 11.04) |
| Section 21.7: Liability and Insurance | Participants Agreement (Section 17.4.1) Tariff (Section I) TOA (Article IX) |
| Section 21.8: Records and Information | Participants Agreement (Sections 10, 16 and 17.1) TOA (Section 11.09) |
| Section 21.9: Consistency with NPCC and NERC Standards | Tariff (Section I) TOA (Sections 3.05 and 3.06) |
| Section 21.10: Construction | Participants Agreement (Section 1.2) |
| Section 21.11: Amendment | Participants Agreement (Section 17.2) TOA (Section 11.04) |
| Section 21.12: Termination | Participants Agreement (Section 4) TOA (Article X) |
| Section 21.13: Notices to Participants, Committee Members or the System Operator | Participants Agreement (Section 17.11) TOA (Section 11.01) |
| Section 21.14: Severability and Renegotiation | Participants Agreement (Section 17.10 |

| Current Document | RTO Governing Document(s) |
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| Section 21.15: No Third-Party Beneficiaries | Participants Agreement (Section 17.12) TOA (Section 11.07) |
| Section 21.16: Counterparts | Participants Agreement (Section 17.13) TOA (Section 11.18) |
| Attachment A: Methodology for Determination of Transmission Flows | Disbursement Agreement |
| MARKET RULE 1 | |
| ARTICLE 1: Market Operations ARTICLE 2: Calculation of Locational Marginal | Tariff (Section III - Market Rule 1) Tariff (Section III - Market Rule 1) |
| Prices ARTICLE 3: Accounting and Billing ARTICLE 4: Rate Table | Tariff (Section III - Market Rule 1) Tariff (Section III - Market Rule 1) |
| ARTICLE 5: Calculation of Transmission Congestion Revenue and Credits | Tariff (Section III - Market Rule 1) |
| ARTICLE 6: Reliability Must Run Resources ARTICLE 7: Financial Transmission Rights Auctions ARTICLE 8: Installed Capacity | Tariff (Section III - Market Rule 1) Tariff (Section III - Market Rule 1) Tariff (Section III - Market Rule 1) |
| Appendix A: Market Monitoring, Reporting and Market Power Mitigation | Tariff (Section III - Market Rule 1) |
| Appendix B: Imposition of Sanctions by the ISO Appendix C: Auction Revenue Rights (ARRs) and | Tariff (Section III - Market Rule 1) Tariff (Section III - Market Rule 1) |
| Qualified Upgrade Awards Appendix D: Alternative Dispute Resolution (ADR) Review | Tariff (Section III - Market Rule 1) |
| Appendix E: Load Response Program (LRP) | Tariff (Section III - Market Rule 1) |
| RESTATED NEPOOL OPEN ACCESS TRANSMISSION TARIFF | Tariff (Section II) (unless otherwise noted) |
| ARTICLE 1: DEFINITIONS | Tariff (Section I.2.2) |
| Section 1.66: Network Integration Transmission Service | Tariff (Section II.1.107) |
| Section 1.67: Network Load | Tariff (Sections II.1.106) (for Schedule 21 purposes, see II.1.46) |
| Section 1.85: Participant RNS Rate | Tariff (Section II.1.47) |

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| ARTICLE 2: PURPOSE OF THE TARIFF | Tariff (Section I.1 & II.2) |
| | (2000) |
| ARTICLE 2A: MARKET RULE 1 | Tariff (Section III) |
| | , |
| ARTICLE 4: ANCILLARY SERVICES | Tariff (Section II.4) |
| Section 4.1: Scheduling, System Control and Dispatch | Tariff (Section II.4.1) |
| Service (Schedule 1) | |
| Section 4.2: Reactive Supply and Voltage Control from | Tariff (Section II.4.2) |
| Generation Sources Service (Schedule 2) | |
| Section 4.3: Regulation and Frequency Response Service (Schedule 3) | Tariff and/or Market Rule 1 (Section II.4.3) |
| Section 4.4: Energy Imbalance Service (Schedule 4) | Tariff and/or Market Rule 1 (Section II.4.4) |
| Section 4.5: Operating Reserve - Spinning Reserve Service (Schedule 5) | Tariff and/or Market Rule 1 (Section II.4.5) |
| Section 4.6: Operating Reserve - Supplemental Reserve Service (Schedule 6) | Tariff and/or Market Rule 1 (Section II.4.6) |
| Section 4.8: System Restoration and Planning Service (Schedule 16) | Tariff (Section II.4.7) |
| Section 4.9: Special Constraint Resource Service (Schedule 19) | Tariff (Section II.4.8) |
| (Schedule 17) | |
| ARTICLE 5: OASIS | Tariff (Section II.5) |
| | |
| ARTICLE 6: LOCAL FURNISHING AND OTHER TAX EXEMPT BONDS | Tariff (Section II.6) |
| Section 6.1: Participants That Own Facilities Financed | Tariff (Section II.6.1) |
| by Local Furnishing or Other Tax-Exempt Bonds | |
| Section 6.2: Alternative Procedures for Requesting Transmission Service - Local Furnishing Bonds | Tariff (Section II.6.2) |
| Section 6.3: Alternative Procedures for Requesting Transmission Service - Other Tax-Exempt Bonds | Tariff (Section II.6.3) |
| The second secon | |
| ARTICLE 7: RECIPROCITY | Tariff (Section II.7) |
| | |
| ARTICLE 8: BILLING AND PAYMENT; ACCOUNTING | Tariff (Section II.8) |
| Section 8.1: Participant Billing Procedure | Tariff (Section II.8.1) |
| Section 8.2: Non-Participant Billing Procedure | Tariff (Section II.8.1) |
| Section 8.3: Interest on Unpaid Balances | Tariff (Section II.8.3) |
| Section 8.4: Customer Default | Tariff (Section II.8.4) |
| Section 8.5: Study Costs and Revenues | Tariff (Section II.8.5) |

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| ARTICLE 9: REGULATORY FILINGS | Tariff (Section II.9) |
| | TOA (Section 3.04) |
| | Participants Agreement (Section 11) |
| ARTICLE 10: FORCE MAJEURE AND INDEMNIFICATION | |
| Section 10.01: Force Majeure | Tariff (Section I.5) |
| Section 10.02: Indemnification | Tariff (Section I.5.3) |
| | |
| ARTICLE 11: CREDITWORTHINESS | Tariff (Section II.7 & Exhibits IA, IB, IC, ID) |
| ARTICLE 12. DISPUTE RESOLUTION PROCEDURES | Tariff (Section I.6 & I.8) |
| Section 12.1: Internal Dispute Resolution Procedures | Tariff (Section I.6) |
| Section 12.2: Rights Under the Federal Power Act | Tariff (Section I.8) |
| | |
| ARTICLE 13: STRANDED COSTS | Tariff (Section II.10) |
| Section 13.1: General | Tariff (Section II.10.1) |
| Section 13.2: Commission Requirements | Tariff (Section II.10.2) |
| Section 13.3: Wholesale Contracts | Tariff (Section II.10.3) |
| Section 13.4: Right to Seek or Contest Recovery Unimpaired | Tariff (Section II.10.4) |
| ARTICLE 14: NATURE OF REGIONAL NETWORK SERVICE | Tariff (Section II.11) |
| ARTICLE 15: AVAILABILITY OF REGIONAL NETWORK SERVICE | Tariff (Section II.12) |
| Section 15.1: Provision of Regional Network Service | Tariff (Section II.12.1) |
| Section 15.2: Eligibility to Receive Regional Network Service | Tariff (Section II.12.2) |
| ARTICLE 16: PAYMENT FOR REGIONAL | Tariff (Section II.13) |
| NETWORK SERVICE | |
| ARTICLE 17: PROCEDURE FOR OBTAINING REGIONAL NETWORK SERVICE | Tariff (Section II.14) |
| ARTICLE 18: THROUGH OR OUT SERVICE | Tariff (Section II.24) |
| Section 18.1: Provision of Through or Out Service | Tariff (Section II.24.1) |
| Section 18.1: Provision of Through or Out Service Section 18.2: Use of Through or Out Service | Tariff (Section II.24.1) |
| The second secon | |

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| ARTICLE 19: INTERNAL POINT-TO-POINT SERVICE | N/A |
| Section 19.1: Provision of-Point to-Point Service | |
| Section 19.2: Use of Point-to-Point Service | |
| Section 19.3: Use by a Transmission Customer | |
| ARTICLE 20: PAYMENT FOR THROUGH OR OUT SERVICE | Tariff (Section II.25) |
| ARTICLE 21: PAYMENT FOR INTERNAL POINT- TO-POINT SERVICE | N/A |
| ARTICLE 22: RESERVATION OF CAPACITY FOR POINT-TO-POINT TRANSMISSION SERVICE | N/A |
| ARTICLE 22A: MTF SERVICE | Tariff (Section II.27) |
| ARTICLE 23: TRANSITION ARRANGEMENTS | Tariff (Section II.39) |
| ARTICLE 25: EXCEPTED TRANSACTIONS | Tariff (Section II.40) |
| | TOA (Section 3.11) |
| | |
| ARTICLE 25A: CONGESTION COSTS AND CONGESTION REVENUE | Tariff (Section II.41 and Section III - Market Rule 1) |
| ARTICLE 25B: FINANCIAL TRANSMISSION RIGHTS | Tariff (Section II.42 and Section III - Market Rule 1) |
| ARTICLE 25C: AUCTION REVENUE RIGHTS AND QUALIFIED UPGRADE AWARDS | Tariff (Section II.43 and Section III - Market Rule 1) |
| ARTICLE 25D: SCHEDULING AND CURTAILMENT RULES FOR EXTERNAL TRANSACTIONS: TRANSACTIONS OVER INTERCONNECTIONS BETWEEN NEPOOL CONTROL AREA AND NEIGHBORING CONTROL AREAS | Tariff (Section I.44 and Section II) |
| ARTICLE 26: SCOPE OF THE APPLICATION OF POINT-TO-POINT TRANSMISSION SERVICE | N/A |
| ARTICLE 27: NATURE OF FIRM INTERNAL POINT-TO-POINT SERVICE AND FIRM MTF SERVICE | Tariff (Schedule 18) |

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| ARTICLE 28. NATURE OF NON-FIRM INTERNAL POINT-TO-POINT SERVICE AND NON-FIRM SERVICE | Tariff (Schedule 18,) |
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| ARTICLE 29. SERVICE AVAILABILITY | Tariff (Section II.31) |
| Section 29.1: General Conditions | Tariff (Section II.31.1) |
| Section 29.2: Determination of Available Transmission Capacity | Tariff (Section II.31.2) |
| Section 29.3: Initiating Service in the Absence of an Executed Service Agreement | Tariff (Section II.31.3) |
| Section 29.4: Obligation to Provide Transmission | Tariff (Section II.31.4) |
| Service that Requires Expansion or Modification of the Transmission System | |
| Section 29.5: Deferral of Service | Tariff (Section II.31.5) |
| Section 29.6: Real Power Losses | Tariff (Section II.31.6) |
| Section 29.7: Load Shedding | Tariff (Section II.31.7) |
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| ARTICLE 30: TRANSMISSION CUSTOMER | Tariff (Section II.32) |
| RESPONSIBILITIES | MPSA (Article 5) |
| | Tariff (Section II.32.1) |
| Section 30.1: Conditions Required of Transmission Customers | TOA |
| Section 30.2: Transmission Customer Responsibility | Tariff (Section II.32.2) |
| for Third-Party Arrangements | |
| ARTICLE 31: PROCEDURES FOR ARRANGING FIRM INTERNAL POINT-TO-POINT SERVICE AND FIRM MTF SERVICE | Tariff (Schedule 18 to Section II) |
| ARTICLE 32: PROCEDURES FOR ARRANGING NON-FIRM INTERNAL POINT-TO-POINT SERVICE AND NON-FIRM MTF SERVICE | Tariff (Schedule 18 to Section II) |
| | Tariff (Section II.33) |
| THROUGH OR OUT SERVICE | |
| ARTICLE 33: ADDITIONAL STUDY | Tariff (Section II.34) |
| PROCEDURES FOR FIRM POINT-TO-POINT TRANSMISSION SERVICE REQUESTS | ` , |
| ARTICLE 34: PROCEDURES IF NEW | Tariff (Section II.35) |
| TRANSMISSION FACILITIES FOR POINT-TO- POINT TRANSMISSION SERVICE CANNOT BE COMPLETED | Tall (Section 11.55) |
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| ARTICLE 35: PROVISIONS RELATING TO | Tariff (Section II.36) |
| TRANSMISSION CONSTRUCTION AND | Tariff (Section 11.50) |
| SERVICES ON THE SYSTEMS OF OTHER | |
| UTILITIES | |
| Section 35.1: Responsibility for Third-Party System | Tariff (Section II.36.1) |
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| Section 35.2: Coordination of Third-Party System | Tariff (Section II.36.2) |
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| ARTICLE 36: CHANGES IN SERVICE | Tariff (Schedule 18 to Section II) |
| SPECIFICATIONS OF INTERNAL POINT-TO- | |
| POINT SERVICE AND MTF SERVICE | |
| Section 36.1: Modifications on a Non-Firm Basis | Tariff (Schedule 18 to Section II) |
| Section 36.2: Modifications on a Firm Basis | Tariff (Schedule 18 to Section II) |
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| ARTICLE 37: SALE, ASSIGNMENT OR | Tariff (Schedule 18 to Section II) |
| TRANSFER OF INTERNAL POINT-TO-POINT | |
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| Section 37.1: Procedures for Sale, Assignment or | Tariff (Schedule 18 to Section II) |
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| Section 37.2: Limitations on Assignment or Transfer of Service | Train (Schedule 18 to Section II) |
| Section 37.3: Information on Assignment or Transfer | Tariff (Schedule 18 to Section II) |
| of Service | Tariff (Schedule 16 to Section II) |
| Section 37.4: Transfer of FTRs | Tariff (Schedule 18 of Section II) |
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| ARTICLE 38: METERING AND POWER | Tariff (Section II.37) |
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| Section 38.1: Transmission Customer Obligations | Tariff (Section II.37.1) |
| Section 38.2: NEPOOL Access to Metering Data | Tariff (Section II.37.2) |
| Section 38.3: Power Factor | Tariff (Section II.37.3) |
| ARTICLE 39: COMPENSATION FOR NEW | Tariff (Section II.38) |
| FACILITIES AND REDISPATCH COSTS | |
| ARTICLE 40: NATURE OF REGIONAL NETWORK SERVICE | Tariff (Section II.15) |
| Section 40.1: Scope of Service | Tariff (Section II.15.1) |
| Section 40.2: Transmission Provider Responsibilities | Tariff (Section II.15.2) |
| Section 40.3: Network Integration Transmission Service | Tariff (Section II.15.2) |
| Section 40.5: Real Power Losses | Tariff (Section II.15.3) |
| Section 40.6: Restrictions on Use of Service | Tariff (Section II.15.4) |
| occion toto. Acsulctions on OSC of Sci vice | Turn (Section 11.13.7) |
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| Article 41: INITIATING SERVICE | Tariff (Section II.16) |
| Section 41.1: Conditions Precedent for Receiving Service | Tariff (Section II.16.1) |
| Section 41.2: Application Procedures | Tariff (Section II.16.2) |
| Section 41.3: Technical Arrangements to be Completed Prior to Commencement of Service | Tariff (Section II.16.3) |
| Section 41.4: Network Customer Facilities | Tariff (Section II.16.4) |
| Section 41.5: Filing of Service Agreement | Tariff (Section II.16.5) |
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| ARTICLE 42: NETWORK RESOURCES | Tariff (Section II.17) |
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| ARTICLE 43: DESIGNATION OF NETWORK LOAD | Tariff (Section II.18) |
| ARTICLE 44: ADDITIONAL STUDY PROCEDURES FOR NETWORK INTEGRATION TRANSMISSION SERVICE REQUESTS | Tariff (Section II.19) |
| ARTICLE 45: LOAD SHEDDING AND CURTAILMENTS | Tariff (Section II.20) |
| ARTICLE 46: RATES AND CHARGES: PAID BY NETWORK CUSTOMER FOR DIRECT ASSIGNMENT FACILITIES AND SHARE OF COST OF REQUIRED NETWORK UPGRADES AND APPLICABLE STUDY COSTS | Tariff (Section II.21) |
| Section 46.1: Determination of Network Customer's Monthly Network Load | Tariff (Section II.21.2) |
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| ARTICLE 47: OPERATING ARRANGEMENTS | Tariff (Section II.22) |
| Section 47.1: Operation under The Network Operating Agreement | |
| Section 47.2: Network Operating Agreement | Tariff (Section II.22.2) |
| Section 47.3: Network Operating Committee | N/A |
| ARTICLE 48: SCOPE OF APPLICATION OF TRANSMISSION PROVISIONS TO PARTICIPANTS | Tariff (Section II.23) |
| ARTICLE 49: TRANSMISSION PLANNING, ADDITIONS AND MODIFICATIONS: GENERAL | Tariff (Section II.46) |
| ARTICLE 50: INTERCONNECTION PROCEDURES AND REQUIREMENTS | , , , |
| Section 50.1: Interconnection of Generating Unit Under the Minimum Interconnection Standard | Tariff (Section II.47.1) |

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| Section 50.1: Interconnection of Elective Transmission Upgrades | Tariff (Section II.47.2) |
| ARTICLE 51: REGIONAL TRANSMISSION | Tariff (Section I.48) |
| PLANNING AND EXPANSION: GENERAL | TOA (Section 3.09) |
| Section 51.1: General | Tariff (Section II.48.1) |
| Section 51.2: Establishment of Transmission Expansion Advisory Committee | Tariff (Section II.48.2) |
| Section 51.3: NEPOOL Transmission Plan: Principles, Scope and Contents | Tariff (Section II.48.3) |
| Section 51.4: Procedures for Developing a NEPOOL Transmission Plan | Tariff (Section II.48.5) |
| Section 51.5: Procedures for the Conduct of Enhancement and Expansion Studies | Tariff (Section II.48.4) |
| Section 51.6: Request for Proposals ("RFP") Process for Upgrades | N/A |
| Section 51.7: Obligations of Transmission Owners to Build | Tariff (Section II.48.6) |
| Section 51.8: Merchant Transmission Facilities; Compliance | Tariff (Section II.48.7) |
| Section 51.9: Alternative Remedies | Tariff (Section II.48.8) |
| Schedule 1: Scheduling, System Control and Dispatch Service | Tariff (Schedule 1 to Section II) |
| Schedule 2: Reactive Supply and Voltage Control from Generation Sources Service | Tariff (Schedule 2 to Section II) |
| Schedule 3: Regulation and Frequency Response Service (Automatic Generation Control) | Tariff (Schedule 3 to Section II) |
| Schedule 4: Energy Imbalance Service | Tariff (Schedule 4 to Section II) |
| Schedule 5: Operating Reserve - Spinning Reserve Service | Tariff (Schedule 5 to Section II) |
| Schedule 6: Operating Reserve - Supplemental Reserve Service | Tariff (Schedule 6 to Section II) |
| Schedule 8: Through or Out Service - The Pool PTF Rate | Tariff (Schedule 8 to Section II) |
| Schedule 9: Regional Network Service | Tariff (Schedule 9 to Section II) |
| Schedule 10: Internal Point-to-Point Service | N/A |
| Schedule 11: Generator Interconnection Related Upgrade Costs | Tariff (Schedule 11 to Section II) |
| Schedule 12: Reliability Upgrade, Economic Upgrade and Elective Transmission Upgrade Costs | Tariff (Schedule 12 to Section II) |
| Schedule 16: System Restoration and Planning Service from Generators | Tariff (Schedule 16 to Section II) |

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| Schedule 18: MTF; MTF Service | Tariff (Schedule 18 to Section II) |
| Schedule 19: Special Constraint Resource Service | Tariff (Schedule 19 to Section II I) |
| • | |
| ATTACHMENT A: Form of Service Agreement for MTF Service and/or Through or Out Service or Internal Point-to-Point Service | Tariff (Attachment A to Section II) |
| ATTACHMENT B: Form of Service Agreement for Regional Network Service | Tariff (Attachment B to Section II) |
| ATTACHMENT C: Methodology to Assess Available Transmission Capability | Tariff (Attachment C to Section II) |
| ATTACHMENT D: Methodology for Completing a System Impact Study | Tariff (Attachment D to Section II) |
| ATTACHMENT E: Local Networks | Tariff (Attachment E to Section II) |
| ATTACHMENT F: Annual Transmission Revenue | Tariff (Attachment F to Section II) |
| Requirements | , |
| ATTACHMENT G: List of Excepted Transaction Agreements | Tariff (Attachment G to Section II) |
| ATTACHMENT G-1: List of Excepted Agreements | Tariff (Attachment G-1 to Section II) |
| ATTACHMENT G-3: Complete List of Excepted | Tariff (Attachment G-3 to Section II) |
| Transaction (Transmission) Agreements over External Ties | |
| ATTACHMENT H: Form of Network Operating Agreement | N/A |
| ATTACHMENT J: Form of Facilities Study Agreement | Tariff(Attachment J to Section II) |
| ATTACHMENT K: 1997 Twelve CP Network Load Data | N/A |
| ATTACHMENT L: Financial Assurance Policy for NEPOOL Members | Tariff (Section I, Exhibit IA) |
| ATTACHMENT M: Financial Assurance Policy for Non-Participant Transmission Customers | Tariff (Section I, Exhibit IB) |
| ATTACHMENT N: NEPOOL Billing Policy | Tariff (Section I, Exhibit IC) |
| ATTACHMENT O: Financial Assurance Policy for Non-Participant FTR Customers | Tariff (Section I, Exhibit 1D) |
| Ancillary Service Schedule 1: Scheduling, System Control and Dispatch Service Implementation Rule | Tariff (Schedule 1 Implementation Rule to Section II) |
| Appendix A-1: NEPOOL Tariff Schedule 1 | Tariff (Appendix A to Schedule 1 Implementation Rule to |
| Implementation Rule: Scheduling, System Control and Dispatch Service: Boston Edison Company SCADA | Section II) |
| Ancillary Service Schedule 16: System Restoration and Planning Service from Generators: Implementation Rule | Tariff (Schedule 16 to Section II) |
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| ATTACHMENT F (II): Implementation Rule for | Tariff (Attachment F Implementation Rule to Section II) |
| Calculating Annual Transmission Revenue | |
| Requirements | |
| Appendix A: Rules for Determining Investment to be | Tariff (Appendix A to Attachment F Implementation Rule |
| Included in PTF | to Section II) |
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