

ISO New England Operating Procedure No. 1 Central Dispatch Operating Responsibilities and Authority

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Appendices

Appendix A - Assignment of Responsibilities

References:

1. Participants Agreement
2. ISO New England Manuals
3. ISO New England Operating Procedure No. 2 - Maintenance of Communications, Computers, Metering, and Computer Support Equipment (OP-2)
4. ISO New England Operating Procedure No. 3 - Transmission Outage Scheduling (OP-3)
5. ISO New England Operating Procedure No. 4 - Action During a Capacity Deficiency (OP-4)
6. ISO New England Operating Procedure No. 5 - Resource Maintenance and Outage Scheduling (OP-5)
7. ISO New England Operating Procedure No. 7 - Action in an Emergency (OP-7)
8. ISO New England Operating Procedure No. 8 - Operating Reserve and Regulation (OP-8)
9. ISO New England Operating Procedure No. 14 - Technical Requirements for Generators, Demand Response Resources, Asset Related Demands and Alternative Technology Regulation Resources (OP-14)
10. ISO New England Operating Procedure No. 18 - Metering and Telemetry Criteria (OP-18)
11. ISO New England Inc. Transmission, Markets and Services Tariff
12. Transmission Operating Agreement
13. Phase I/II Transmission Operating Agreement (Phase I/II TOA)
14. Phase I/II Transmission Service Administration Agreement (Phase I/II TSAA)

PART I - INTRODUCTION

The Participants Agreement, ISO New England Inc. Transmission, Markets and Service Tariff, Transmission Operating Agreement (TOA), other transmission operating agreements and Market Participant Service Agreements provide ISO New England (ISO) with the operating responsibility and authority necessary to reliably and efficiently operate the New England Reliability Coordinator Area/Balancing Authority Area (RCA/BAA) in compliance with ISO New England Operating Documents, North American Electric Reliability Corporation (NERC) and Northeast Power Coordinating Council Inc. (NPCC) Standards and in accordance with Good Utility Practice.

Because central dispatch responsibilities are divided and/or shared between ISO, the Local Control Centers (LCCs), Transmission Owners (TOs) and Market Participants (MPs), it is necessary to establish overall responsibilities and authority. This Operating Procedure (OP) defines the overall parameters within which the more specific ISO New England Operating Procedures can be formulated.

PART II - PRINCIPLES

The following principles are adopted. Appendix A expands upon the principles by assigning responsibilities to specific operational groups.

1. ISO is responsible for the day-to-day operation of the New England RCA/BAA in accordance with applicable rules and procedures.
2. An LCC has a responsibility to each TO whose equipment they operate. Also, each LCC has a responsibility to assist ISO in meeting the objectives of central dispatch by carrying out instructions, orders and directions received from ISO.
3. To most efficiently achieve the objective of central dispatch, it is necessary that ISO, each LCC, each TO and each MP execute their responsibilities with a spirit of cooperation and with an understanding of the tasks to be accomplished.

PART III - GENERAL STATEMENT OF RESPONSIBILITIES

ISO Responsibilities

ISO, as the System Operator, is responsible for matters pertaining to the central dispatch of each facility in each of the following categories:

- Transmission facility over which it has operational control pursuant to the TOA and other transmission operating agreements
- Resources as defined by the Tariff in Section I.2.2.

In compliance with NERC and NPCC requirements, agreements to which ISO is a party and ISO New England Operating Documents and under normal and emergency system operating conditions, the responsibilities and authorities assigned to ISO extends to include decisions, instructions, and orders issued to an LCC, TO or MP facility electronically, verbally or in writing, as required for day-to-day, minute-to-minute operation of each facility in each of the categories listed above.

LCC Responsibilities

Each LCC has a comprehensive responsibility for the security of certain facilities within the New England RCA/BAA over which it has operational control, including certain Category A and Category B transmission facilities, Other Transmission Facilities, Merchant Transmission Facilities and Local Facilities, as those terms and facilities are defined in the TOA or other transmission operating agreements, as applicable.

Individual LCC responsibilities may include SCADA functions, security of lower voltage transmission facilities, coordination of generator/DARD activities and switching and tagging of facilities **not** performed by the MP or TO.

These extended responsibilities are established by the individual LCC member companies subject to the general provisions of this OP.

MP & TO Responsibilities

Each TO shall, to the fullest extent practical, cause each transmission facility rated at 69 kV or above owned or controlled by it, to be designed, constructed, maintained and operated in accordance with Good Utility Practice.

Each MP shall, to the fullest extent practical, cause each generator, DARD, DRR, and ATRR for which they are the Lead Market Participant, to be designed, constructed, maintained and operated in accordance with Good Utility Practice.

MP and TO facilities will also contribute to central dispatch in accordance with approved ISO New England Operating Procedures, Market Rule 1 and procedures, manuals and ISO New England Transmission Operating Guides.

ISO, LCC, TO & MP Shared Responsibilities

ISO, each LCC, each TO and each MP share the responsibility to initiate dispatch action(s) necessary to prevent injury, loss of life, equipment damage, violations to safety, regulatory or statutory requirements and/or service interruptions.

OP-1 REVISION HISTORY

Document History (This Document History documents action taken on the equivalent NEPOOL Procedure prior to the RTO Operations Date as well revisions made to the ISO New England Procedure subsequent to the RTO Operations Date.)

Rev. No.	Date	Reason
Rev 1	7/22/98	
Rev 2	02/01/05	Updated to conform to RTO terminology
Rev 3	05/06/05	Update for initiation of VELCO Local Control Center and NERC Version 0 Standards
Rev 4	10/01/06	Updated for ASM Phase 2
Rev 5	08/18/11	Reformatted entire document, changed font, minor editorial and format changes, added disclaimer to 1 st page footer and replaced page numbers with Page X of Y format Updated and corrected References and LCC Instructions titles; PART I defined acronyms, and updated obsolete term "Control Area"; PART II made grammar changes to state individual entities and responsibilities; PART III broke up into sub-sections, reformatted as necessary, updated to include all entities dispatched and each organizations responsibilities
Rev 6	10/08/13	Biennial review by procedure owner; Document Title and Header document title modified; 1 st page Footer, deleted disclaimer 2 nd paragraph; Updated and corrected LCC Instruction titles; Grammar and editorial changes consistent with current practices and management expectations; Globally explicitly listed TO responsibilities, as applicable;
Rev 7	05/02/14	Added obligations for Alternative Technology Regulation Resources
Rev 7.1	05/29/15	Periodic review performed requiring no changes;
Rev 7.2	04/27/17	Periodic review performed requiring no changes; Made administrative changes (added required corporate document identity to all page footers) required to publish a Minor Revision;
Rev 8	06/01/18	Biennial review by procedure owner; References Section and globally, corrected OP-5, OP-14 and the ISO Tariff title; LCC Instructions section, replaced "REMVEC II" with "NGRID"; Globally minor editorial changes to reflect current conditions, practices, and management expectations; Changes for PRD including the following: Section PART III, ISO Responsibilities, 1 st paragraph 45h and 5 th bullets replaced terms "RTDR and RTEG" with new bullet "Demand Response Resource (DRR); Section PART III, MP & TO Responsibilities, 2 nd paragraph, replaced terms "RTDR and RTEG" with "DRR";
Rev 8.1	06/06/18	Per SOP-RTMKTS.0210.0010 Section 5.12, corrected the Effective Date typo in the header of page 1 (no content change was made);
Rev 8.2	05/08/20	Biennial review performed requiring no changes.
Rev 8.3	03/28/22	Biennial review performed by procedure owner requiring no intent changes; Removed Local Control Center Instructions from TOC and References sections; Added resource reference to Tariff in Part III, ISO Responsibilities.
Rev 8.4	03/27/24	Biennial review performed by procedure owner requiring no intent changes; Deleted sub-bullets of Part III ISO responsibilities as to not duplicate information from the tariff.