



GIS Annual Exemption Process

Each year prior to January 1, current GIS exempt Load Assets must renew their exempt status by providing an exempt certification to the Budget & Finance Subcommittee (Subcommittee). ISO New England (ISO-NE) will collect the GIS Annual Exemption Requests on behalf of the Subcommittee. This process is also open to existing GIS Load Assets that wish to request GIS exemption for the upcoming year. "To demonstrate that any Load Asset owned by it is not a GIS Load Asset, on an annual basis and whenever the Subcommittee or the System operator deems necessary, a Participant shall provide the Subcommittee, either a certification by an officer of that Participant (Certification) or an opinion of counsel to that Participant (Opinion) explaining the specific reason or reasons why such Load Asset is not a GIS Load Asset", as stated in the document, [Allocation of Costs Related to Generation Information System](#).

Please Note: As of January 1 of each year, all ISO-NE registered Load Assets will be considered GIS Loads until an Exemption Request is approved during the GIS Annual Exemption Process.

Approval or Denial of GIS Exemption Request:

The Subcommittee may request additional information before making a final decision, however all Load Assets approved for GIS exempt status will be posted on the ISO-NE website. [GIS Load Asset Listing](#) contains two worksheets; one lists the exempt Load Assets and the other lists all GIS Load Assets that will be charged for GIS Costs.

Late Submittals of GIS Exemption Requests:

A late submittal will be processed however; it will only be prospective and not retroactive. The process will be the same as outlined in this document and the Load Asset will remain a GIS Load Asset until Settlements receives exemption approval from the Subcommittee.

GIS Exemption Request:

To request GIS Exemption, forward a Certification or Opinion Letter along with the GIS Exemption Request Form to ISO-NE.

Certification or Opinion Letter:

A Certification or Opinion should be on company letterhead and signed by an officer of the Participant or counsel to the Participant. The letter should be addressed to the Subcommittee and contain an explanation of the specific reason or reasons why such Load Asset is not a GIS Load Asset. Conclusory statements that a Load Asset is not a GIS Load Asset without an adequate explanation shall not satisfy the requirements.

GIS Exemption Request Form:

The GIS Exemption Request Form assists NEPOOL Participants in requesting GIS exemption. All Participants who own NEPOOL Load Assets and are requesting or renewing GIS exemption status are required to complete this form annually and attach it to the Certification or Opinion Letter. The Load Asset data provided will assist ISO-NE in the approval process of exempting the requested Load Asset(s) from GIS cost charge allocation.

The GIS Exemption Request Form has six fields of required information:

Asset ID: The standard ISO-NE registered Load Asset ID.

Load Asset Name: The standard ISO-NE registered Load Asset name.

State: The State in which the Load Asset physically resides.

LLAO ID: The standard ISO-NE registered Lead Load Asset Owner (LLAO) ID.

LLAO Name: The standard ISO-NE registered LLAO Name. If the LLAO is not the current owner but will be at the beginning of the exempt year, please note the effective date of ownership.

Reason: Provide a reason why the load asset is not a GIS Load Asset or note that the reason is included in the Certification or Opinion Letter.

The [GIS Exemption Request Form](#) is available in MS Word and Excel formats for your convenience. A copy is also included at the end of this document.

Forward GIS Exemption Requests to:

GIS Exemption Requests should be sent via E-mail, in PDF format, to gis@iso-ne.com. Please use the following as the subject line: "20XX GIS Exemption Request for Asset ID ##### - Asset Name".

Although e-mailing electronic documents to gis@iso-ne.com is the preferred method, in the case where a LLAO is unable to e-mail an electronic copy:

- Fax – to 413-535-4083, Attn: Monthly Coordinator – Market Analysis & Settlements
- US Mail – to ISO New England, Inc, Attn: Monthly Coordinator – Market Analysis & Settlements, One Sullivan Road, Holyoke, MA 01040-2841

Questions:

If you have further questions about the GIS Annual Exemption Process, please contact ISO-NE Participant Support at 413-540-4220 or via email at AskISO@iso-ne.com.

GIS Resources:

NEPOOL GIS Homepage

<http://www.nepoolgis.com/>

GIS Operating Rules

GIS Operation Rules are located at the following link:

<http://www.nepoolgis.com/>

NEPOOL Generation Information System (GIS) Operating Rules Working Group

Stakeholders working group advises the Markets Committee on possible revisions to the Operating Rules for the GIS accounting system to ensure continued effectiveness.

<http://www.iso-ne.com/committees/markets/gis-operating-rules>

GIS Account Holders

To identify a GIS Account Holder or a contact person by company, select the following link:

<https://www1.nepoolgis.com/myModule/rpt/myrpt.asp?r=1&TabName=Generator>

GIS - Settlements & Attribute Laws

Access the GIS list of billable and non-billable GIS Load Assets, “Allocation of Costs Related to GIS” document, and GIS Exemption Request Form.

Attribute Laws exist for each state in New England and each state has their own exemption requirements. Definition of Attribute Laws are found in document “Allocation of Costs Related to Generation Information System”.

<http://www.nepoolgis.com/state-program-information/>

GIS Costs

GIS Costs MIS Reports are distributed each month. Additional information on GIS Costs are found at <https://www.iso-ne.com/markets-operations/settlements/understand-bill/item-descriptions/gis-costs>



GIS Exemption Request Form

(Attach to Certification or Opinion Letter)

GIS Exemption Request Form

Submittal Date:	
Submitted By:	
Contact – Phone No.:	
Contact – Email Address:	

Asset ID	Load Asset Name	State	LLAO ID	LLAO Name	Reason for Exemption ² <small>(if not stated in Certifications or Opinions)</small>
			Effective Date (MM/DD/YY) ¹		

¹ If the submitter is not the current LLAO but foresees it will be in the near future, please insert estimated effective date of becoming the LLAO.

² Conclusory statements that a Load Asset is not a GIS Load Asset without an adequate explanation shall not satisfy the requirements.