

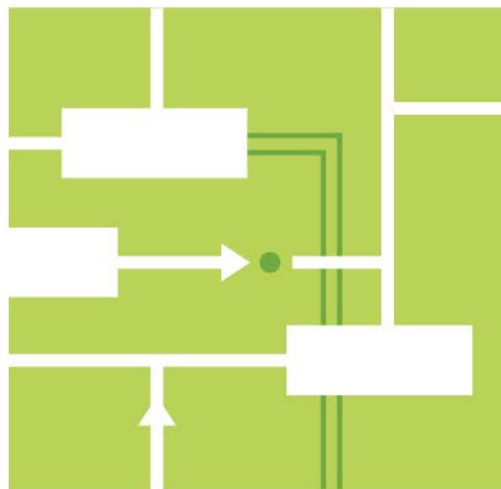
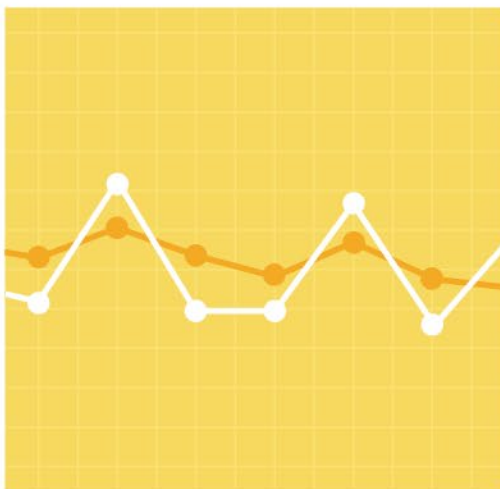


# List of Required Materials for the 2024 Interim Reconfiguration Auction Qualification Process

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# Section 1

## General Requirements

Pursuant to Section III.13.A.2 (Interim Reconfiguration Auction Qualification) of the ISO-NE Tariff, a New Capacity Resource that has not already acquired a Capacity Supply Obligation and intends to achieve Commercial Operation as defined in Section III.13.1.1.2.2.2(h) before the required deadline may qualify for annual reconfiguration auctions, monthly reconfiguration auctions, and bilateral activities through the 2024 interim reconfiguration auction qualification process.

The following materials, submissions and activities are required to participate in and complete the 2024 interim reconfiguration auction qualification process:<sup>1</sup>

1. Show of Interest (SOI) Form
2. Qualification Process Cost Reimbursement Deposit (QPCRD)<sup>2</sup>
3. New Capacity Qualification Package (NCQP)
4. Commercial Readiness Deposit<sup>3</sup>
5. Critical Path Schedule Monitoring Election

The information that must be included for these required materials, submissions, and activities (detailed below) is consistent with the information that has been included for required materials, submissions, and activities in the qualification processes for recent Forward Capacity Auctions.

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<sup>1</sup> Pursuant to Section III.13.1.1.2.7, the ISO may consult with Project Sponsors to seek clarification, gather additional necessary information, or address questions or concerns arising from the materials submitted.

<sup>2</sup> The QPCRD is billed as a line item on the Non-Hourly invoice issued by the ISO typically in May after the close of the SOI submission window. If payment is not received by the ISO by the invoice due date, the project will be withdrawn. See Section III.13.1.9.3 of the Tariff for required QPCRD amounts.

<sup>3</sup> The Commercial Readiness Deposit applies in the case of FERC acceptance of [ISO-NE's proposed compliance with Order No. 2023](#). Only Generating Capacity Resources and Import Capacity Resources associated with a project subject to the ISO interconnection Procedures would require a Commercial Readiness Deposit, pursuant to Schedules 22, 23 and 25 of the Open Access Transmission Tariff.

## Section 2

# Submission Details for Generating Capacity Resources

This section provides SOI Form and NCQP submission details for Generating Capacity Resources.

### 2.1 SOI Form

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Information for the SOI Form includes:

1. Lead Market Participant
2. Project Type (New Generation < 20 MW, New Generation >= 20 MW)
3. Project Name
4. Resource Name
5. Commercial Operation Date
6. Address, City, State, Postal Code, County
7. Intermittent Status (Y/N Flag)
  - Generator Maintenance Category (required for resources that are not Intermittent Power Resources)
8. Settlement Only Status (Y/N Flag)
9. Net MW @ 20°F, 50°F and 90°F (Net MW at POI)
10. FERC Jurisdiction (Y/N Flag)
  - Queue Position (required for projects subject to the ISO Interconnection Procedures)
  - Project Tracking Number (required for projects not subject to the ISO Interconnection Procedures)
  - Interconnection Utility Name (required for projects not subject to the ISO Interconnection Procedures)
11. Interconnection Request Submission Date
12. Interconnection Request Document
13. Feasibility Study Agreement Executed
14. System Impact Study Agreement Executed

15. Interconnection Agreement Executed

16. Interconnection Point:

- Substation or Transmission Line
- Voltage (kV)
- New Transmission Line Required (Y/N Flag)
  - Length in miles (required if 'New Transmission Line Required' = Y)

17. Project Configuration

- # of Units
- Prime Mover
- Prime Energy Source
- New Pipeline Required (Y/N Flag)
  - Length in miles (required if 'New Pipeline Required' = Y)

18. Project/Technical & Finance/Credit Contact

19. [Forward Capacity Auction Self-Certification Forms for New Distributed Generation Projects](#)  
(required for projects not subject to the ISO Interconnection Procedures)

20. Plot Plan

21. One Line Diagram

22. Site Control Documentation (required for projects subject to the ISO Interconnection Procedures)

23. [Additional Data for New Generating Stand-Alone or Co-Located Storage Facilities Form](#)  
(required for projects with an energy storage component)

## 2.2 NCQP

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Information for the NCQP includes:

1. Intermittent Power Resource Data (required for projects that are Intermittent Power Resources and resources that are not Intermittent Power Resources but have a component based on an intermittent technology)
  - Requested Summer Capacity MW
  - Requested Winter Capacity MW
  - Supporting Site-Specific Documentation ([ISO-NE Forward Capacity Market Intermittent Resources Forms and Guidelines](#))
2. Critical Path Schedule (CPS)
  - Major Permits
    - Permit Name
    - Issuing Agency
    - State/Locality
    - Application Date
    - Approval Date
  - Financing
    - Source Name
    - Amount
    - Date Financing Secured
  - Major Equipment
    - Manufacturer
    - Model #/Identifier
    - Order Date
    - Delivery Date
    - Test Completion Date
  - Substantial Site Construction Date

- Commissioning Completion Date
- Commercial Operation Date
- Proof of Completion Documents for All Milestones that have been Achieved Within the CPS



## Section 3

# Submission Details for Import Capacity Resources

This section provides SOI Form and NCQP submission details for Import Capacity Resources.

### 3.1 SOI Form

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Information for the SOI Form includes:

1. Lead Market Participant
2. Project Type
3. Project Name
4. Resource Name
5. Import Type
  - Backed by Single External New Generating Resource
  - Backed by External Existing Generating Resource
  - Backed by External Control Area
6. Proposed Summer Import MW & Proposed Winter Import MW for each applicable Capacity Commitment Period (CCP)
7. Commercial Operation Date (if backed by a single new External Resource)
8. External Interface
9. Crossing Intervening Control Area (Y/N Flag)
10. Additional Transmission Investment Required (Y/N Flag)
11. Elective Transmission Upgrade Required (Y/N Flag)
12. Project/Technical & Finance/Credit Contact
13. External Transmission Upgrade (ETU) Document (if ETU is required)
  - Affiliation Documentation – Affiliation document between the ETU and the Import Capacity Resource (e.g., a transmission service agreement)
14. Site Control Document (if backed by a single new External Resource)

### 3.2 NCQP

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Information for the NCQP includes:

1. Originating External Control Area
2. Capacity Market Exists in External Control Area (Y/N Flag)
3. Backing External Generator Resource Information (required for Backed by Single External New Generating Capacity Resource or Backed by External Existing Generating Resource)
  - Station Name
  - Generator Classification
  - Capable of Responding to Dispatch Instruction (Y/N Flag)
    - Dispatch Instruction Limitation Description (if 'Capable of Responding to Dispatch Instruction' = No)
  - Limited Energy Generator (Y/N Flag)
  - Solar/Wind/Run of River Hydro Resource (Y/N Flag)
  - Control over Net Power Output (Y/N Flag)
  - Generator Type
  - Primary Energy Source
  - Secondary Energy Source
  - Obligation to Provide Capacity in Any Control Area (Y/N Flag)
    - Capacity Obligation Description (Required if flag = Y)
4. Wheel-Through Information (required for Import Capacity Resource that have 'Crossing Intervening Control Area Flag' = Yes)
  - Supporting Document – Documentation that the neighboring and any intervening Control Area will afford the export the same curtailment priority as native load
  - Control Area Process Description
  - Control Area Limitation Description
  - Control Area Transmission Constraints Description
5. External Asset and Capacity Information (required for Backed by Single External New Generating Capacity Resource or Backed by External Existing Generating Resource)

- External Area Asset ID
  - External Area Asset Name (Unit/Station Name)
  - Intermittent Flag (Y/N Flag)
  - Address, City, State, Postal Code, County
  - Summer Nameplate Rating MW
  - Winter Nameplate Rating MW
  - Summer Market Rating MW
  - Winter Market Rating MW
  - Summer Maximum Capacity Interconnection MW
  - Winter Maximum Capacity Interconnection MW
6. Intermittent Capacity Qualification Information (for Intermittent Import Capacity Resource)
- For Year 2019, 2020, 2021, 2022, and 2023
    - Summer Actual Median Capacity MW (HE 1400-1800)
    - Winter Actual Median Capacity MW (HE 1800-1900)
7. Non Intermittent Capacity Qualification Information (for Non-Intermittent Import Capacity Resource)
- For Year 2019, 2020, 2021, 2022, and 2023
    - Summer Audit MW (June-Sept)
    - Winter Audit MW (Oct-May)
8. Contract Confirmation Document(s) – Export contract and/or proof of ownership for each applicable CCP
9. Capacity Obligation Supporting Documentation
- Proof of Megawatts of Operation Supporting the Requested Qualified Capacity (required for Backed by Single External New Generating Capacity Resource or Backed by External Existing Generating Resource)
  - System Load and Capacity Projections (for Backed by External Control Area)
10. Critical Path Schedule (CPS) (required for Backed by Single External New Resource)

- Major Permits
  - Permit Name
  - Issuing Agency
  - State/Locality
  - Application Date
  - Approval Date
- Financing
  - Source Name
  - Amount
  - Date Financing Secured
- Major Equipment
  - Manufacturer
  - Model #/Identifier
  - Order Date
  - Delivery Date
  - Test Completion Date
- Substantial Site Construction Date
- Commissioning Completion Date
- Commercial Operation Date
- Proof of Completion Documents for All Milestones that have been Achieved Within the CPS

11. [Elective Transmission Upgrade \(ETU\) Critical Path Schedule Form](#) (required for Import Capacity Resource that have 'Elective Transmission Upgrade Flag' = Yes)

## Section 4

# Submission Details for Demand Capacity Resources

This section provides SOI Form and NCQP submission details for Demand Capacity Resources.

### 4.1 SOI Form

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Information for the SOI Form includes:

1. Lead Market Participant
2. Project Type (New Demand Capacity Resource)
3. Project Name
4. Resource Name
5. Commercial Operation Date
6. Summer & Winter Demand Reduction Value (DRV)
7. Demand Resource Type
  - Active Demand Capacity Resource (ADCR)
  - On-Peak Demand Capacity Resource
  - Seasonal Peak Demand Capacity Resource
8. Dispatch Zone and Load Zone (for ADCRs), Load Zone (for On-Peak Demand Capacity Resources and Seasonal Peak Demand Capacity Resources)
9. Project Description Form
10. Measure Type
  - Distributed Generation
  - Load Management
  - Energy Efficiency
11. Distributed Generation (if 'Measure Type' = Distributed Generation)
  - Net Supply Capability MW
  - Non Coincident Peak Load MW
  - Interconnection Request Submission Date

- Interconnection Request Document
- Interconnection Agreement Executed

12. Customer Class

- Customer Class Description (required if 'Customer Class' = Other)

13. Single Facility  $\geq$  5 MW DRV (Y/N Flag)

14. Facility Information (if 'Single facility  $\geq$  5 MW DRV' = Yes)

- PNode
- Address, City, State, Postal Code
- Summer DRV MW
- Winter DRV MW

15. Project/Technical and Finance/Credit Contact

## 4.2 NCQP

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Information for the NCQP includes:

### 1. CPS

- Simple CPS: ('Single Facility >= 5 MW' = No)
  - Target Date 1 Summer and Winter MW
  - Target Date 2 Summer and Winter MW
  - Commercial Operation Date
  - CPS Supporting Documentation
- Full CPS: ('Single Facility >= 5 MW' = Yes)
  - Major Permits
    - 1. Permit Name
    - 2. Issuing Agency
    - 3. State/Locality
    - 4. Application Date
    - 5. Approval Date
  - Financing
    - 1. Source Name
    - 2. Amount
    - 3. Date Financing Secured
  - Major Equipment
    - 1. Manufacturer
    - 2. Model #/Identifier
    - 3. Order Date
    - 4. Delivery Date
    - 5. Test Completion Date
  - Substantial Site Construction Date
  - Commissioning Completion Date
  - Commercial Operation Date
  - Proof of Completion Documents for All Milestones that have been Achieved Within the CPS

2. [Measurement & Verification Plan](#) (for On-Peak Demand Capacity Resources and Seasonal Peak Demand Capacity Resources)
3. Measurement & Verification Supporting Documents (for On-Peak Demand Capacity Resources and Seasonal Peak Demand Capacity Resources)
4. [Customer Acquisition Plan](#)
5. [Source of Funding Plan](#)