Energy Storage Market Participation

Overview

Tim Peet
Manager, Customer Support

Disclaimer for Customer Training: ISO New England (ISO) provides training to enhance participant and stakeholder understanding. Not all issues and requirements are addressed by the training. Consult the effective Transmission, Markets and Services Tariff and the relevant Market Manuals, Operating Procedures and Planning Procedures for detailed information. In case of a discrepancy between training provided by ISO and the Tariff or Procedures, the meaning of the Tariff and Procedures shall govern.

Please note the following important updates to this presentation:
In addition to the participation options described, as of April 1, 2019, directly metered energy storage also has the option of registering as a continuous storage facility in order to simultaneously participate in the energy, reserves, and regulation markets. Learn more in the Continuous Storage Facility Participation Webinar. For slides 39 and 43, note that as of April 1, 2019, a facility registered in combination as a dispatchable generator asset, an alternative technology regulating resource (ATRR), and a dispatchable asset-related demand (DARD) that each represent the same equipment must register as a continuous storage facility. Also, slide 19 was corrected on May 9, 2019.
Customer Training Disclaimer

ISO New England (ISO) provides training to enhance participant and stakeholder understanding.

Because not all issues and requirements are addressed by the training, participants and other stakeholders should not rely solely on this training for information but should consult the effective *Transmission, Markets and Services Tariff* (“Tariff”) and the relevant *Market Manuals, Operating Procedures, and Planning Procedures* (“Procedures”).

In case of a discrepancy between training provided by ISO and the Tariff or Procedures, the meaning of the Tariff and Procedures shall govern.
This training...

- *Is* an overview to energy storage resource market participation and asset registration
- *Is not* a complete guide or comprehensive review

Project sponsors are encouraged to contact Customer Support prior to beginning the registration process

Additional information:

*How Energy Storage Can Participate in New England’s Wholesale Electricity Markets*
<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
<th>Acronym</th>
<th>Description</th>
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<tbody>
<tr>
<td>ARD</td>
<td>asset-related demand</td>
<td>FCM</td>
<td>Forward Capacity Market</td>
</tr>
<tr>
<td>ATRR</td>
<td>alternative technology regulating resource</td>
<td>LGIP</td>
<td>large generator interconnection procedures</td>
</tr>
<tr>
<td>DARD</td>
<td>dispatchable asset-related demand</td>
<td>LMP</td>
<td>locational marginal price</td>
</tr>
<tr>
<td>DDR</td>
<td>dispatchable demand response</td>
<td>LSE</td>
<td>load-serving entity</td>
</tr>
<tr>
<td>DR</td>
<td>demand response</td>
<td>OATT</td>
<td>Open Access Transmission Tariff</td>
</tr>
<tr>
<td>DRA</td>
<td>demand-response asset</td>
<td>PURPA</td>
<td>Public Utility Regulatory Policies Act</td>
</tr>
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<td>DRR</td>
<td>demand response resource</td>
<td>RTDR</td>
<td>real-time demand response</td>
</tr>
<tr>
<td>ENC</td>
<td>energy-neutral continuous</td>
<td>SGIP</td>
<td>small generator interconnection procedures</td>
</tr>
<tr>
<td>ENT</td>
<td>energy-neutral trinary</td>
<td>SOG</td>
<td>settlement-only generator</td>
</tr>
<tr>
<td>FCA</td>
<td>Forward Capacity Auction</td>
<td>SOI</td>
<td>show of interest</td>
</tr>
</tbody>
</table>
Objectives

At the end of this presentation you will be able to:

• Give a brief description of the electrical markets
• Describe the various registration options
Topics

• New England Markets
• Resource Types
• Interconnection Information
• Allowable Combinations for Registration
• Dates to Remember
New England Markets

High-Level Overview
Important Points

• Markets have product-specific requirements that apply to any resource that wishes to provide that product
  – There are no rules specific to energy storage

• All load consumed may be subject to
  – State jurisdiction
  – Tax
  – Demand charges
  – Transmission & distribution
  – Energy efficiency charges

• Any facility has to have a distribution company / load-serving entity (LSE)

Please check the ISO website for future changes that may arise due to the FERC Notice of Proposed Rulemaking for integrating electricity storage into organized markets
New England’s Market

Wholesale Electricity Market

Energy Market

System for purchasing and selling electricity using supply and demand to set the price

Forward Capacity Market

Three-year forward market where resources receive compensation for having invested in capacity and delivery of capacity in the capacity commitment period

Ancillary Services Market

Services that ensure the reliability of production and transmission of electricity
Energy Market

Day-Ahead Energy Market

- Produces financially binding schedules for the production and consumption of electricity the day before the operating day

Real-Time Energy Market

- Balances differences between the day-ahead scheduled amounts of electricity and the actual real-time requirements
Forward Capacity Market

Ensures sufficient resources to meet demand 3 years in future

Cleared resources receive monthly payments in exchange for being available when needed
Ancillary Services Markets

Services that ensure the reliability of and support for the transmission of electricity

- **Reserve**: Resources available to respond within 10- and 30-minutes to meet demand under certain contingencies. This includes the Forward Reserve and Real-Time Reserve Markets.
- **Regulation**: Resources increase or decrease output every 4 seconds in response to signals from the ISO to balance supply levels with the second-to-second variations in demand.
- **Voltage Support**: Provide reactive power for voltage control and support.
- **Blackstart**: Support restoration of the power system after a partial or complete shutdown of the system.
Annual Value of Wholesale Electricity Markets

In $ Billions

<table>
<thead>
<tr>
<th>Year</th>
<th>Energy</th>
<th>Ancillary Services</th>
<th>Capacity</th>
</tr>
</thead>
<tbody>
<tr>
<td>2008</td>
<td>12.1</td>
<td>1.5</td>
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<tr>
<td>2009</td>
<td>5.9</td>
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<td>2012</td>
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<tr>
<td>2013</td>
<td>8.0</td>
<td>1.1</td>
<td>1.1</td>
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<td>2014</td>
<td>9.1</td>
<td>1.1</td>
<td>1.1</td>
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<tr>
<td>2015</td>
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<td>2016*</td>
<td>4.1</td>
<td>1.2</td>
<td>1.2</td>
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</tbody>
</table>

Source: [2015 Report of the Consumer Liaison Group](#);
*2016 wholesale electricity market values are preliminary and subject to reconciliation.*
Annual Value of Ancillary Services

In $ Millions

- 2010: $113
  - Forward Reserve Market: $10
  - Real-Time Energy Reserves: $14
  - Regulation Market: $16
  - Blackstart: $13

- 2011: $10
  - Forward Reserve Market: $10
  - Real-Time Energy Reserves: $13
  - Regulation Market: $8
  - Blackstart: $18

- 2012: $13
  - Forward Reserve Market: $13
  - Real-Time Energy Reserves: $12
  - Regulation Market: $24
  - Blackstart: $9

- 2013: $17
  - Forward Reserve Market: $55
  - Real-Time Energy Reserves: $40
  - Regulation Market: $20
  - Blackstart: $17

- 2014: $13
  - Forward Reserve Market: $178
  - Real-Time Energy Reserves: $29
  - Regulation Market: $31
  - Blackstart: $13

- 2015: $14
  - Forward Reserve Market: $100
  - Real-Time Energy Reserves: $22
  - Regulation Market: $23
  - Blackstart: $14

- 2016: $15
  - Forward Reserve Market: $53
  - Real-Time Energy Reserves: $27
  - Regulation Market: $16
  - Blackstart: $15

Legend:
- Blue: Forward Reserve Market
- Orange: Real-Time Energy Reserves
- Green: Regulation Market
- Black: Blackstart
Resource Types
Unique Aspects of Energy Storage

Energy storage is unique because it is able to operate as both:

• Supply resource
• Load resource

ISO market rules allow storage to participate in the markets as a:

• Generator
• Load
• Or both
Please Keep This in Mind

All registration options are contingent upon:

Meeting technical requirements

Completing application process
Types of Resources

**Modeled Generator**

**Settlement-only Generator**

**Load Asset**

**Asset Related Demand/Dispatchable Asset Related Demand**

**Demand Response**

**Alternative Technology Regulating Resources**

*Detailed descriptions of these are coming up next*
Interconnection & Capability Determine Options

Voltage (kV)

- > 115: Generator
- 115: SOG or Neither
- < 115: Generator

Power (MW)

- 1: Generator
- 5: Generator

Modeled Generator

• Output ≥ 1 MW
• Modeled generators are included in the power system model
• Dispatchable to the extent practicable
• Can participate in the following markets:
  – Day-Ahead Energy Market
  – Real-Time Energy Market
  – FCM
  – Reserves
  – Regulation
  – Voltage support
  – Blackstart
Settlement-only Generator (SOG)

• Output must be < 5 MW
• Non-dispatchable
• Not in our power system model (not seen by system operators)
• Cannot participate in these markets:
  – Reserves
  – Blackstart
  – Voltage support
  – Day-Ahead Energy Market
Load Asset

- Registered per registration process (M-RPA)
- Pays zonal price for consumption based on reported meter data
- May represent more than one end-use customer in the same metering domain
- Day-ahead demand is bid into the market at a specific zone and may result in a load obligation
- Real-time load obligation is based on the metered data submitted for each asset in the zone

The association of end-use customer to assets is done by the host utility in accordance with state regulations
Asset-Related Demand (ARD)

- Discretely modeled
- Settles at node
- DARD = dispatchable ARD
- Can be either dispatchable or non-dispatchable
  - If dispatchable, can provide reserves and set price
- Consists of one or more metered loads
  - Receiving service from same point or points of electrical supply
    - Same pricing node
    - Aggregate hourly load ≥ 1MW at some point in the last 12 months
**Demand Response**

- Demand reduction capability of aggregated DR of multiple end-use customers within same reserve and dispatch zone
- Performance measured at the retail delivery point(s) of the end-use customer
- Demand response resource (DRR) is the resource that is:
  - Dispatched for energy *or*
  - Designated to provide reserves
- DRRs consist of ≥ 1 demand response asset (DRA) in the same
  - Dispatch zone *and*
  - Reserve zone
- DRR receives electronic dispatch instructions
Alternative Technology Regulating Resource (ATRR)

• Equipped to provide regulation
• Regulating – but not regulating as a generator asset
• Must select one of three regulation signals
  – Conventional AGC setpoint
  – Energy neutral continuous AGC setpoint
  – Energy neutral trinary AGC setpoint
• Aggregated ATRR of multiple end-use customers in ISO footprint
  – Individual sub-resources must be < 1 MW of regulation capacity
  – If sub-resource ≥ 1 MW, must register as a separate ATRR
• Minimum regulation capacity: 1 MW (2 MW range)
Eligibility
Regulation Market Eligibility

• ARR ≥ 1 MW/minute
• Minimum regulation capacity of a generating resource ≥ minimum of either (minimum range is 0 to 10 MW)
  – 5 MW or
  – 2 × (resource AGC setpoint deadband + 1)
• Minimum regulation capacity of a non-generating resource must be ≥ 1 MW after aggregation
• Must be in the ISO New England footprint
• Be able to receive AGC setpoints & follow dispatch
• Must be able to regulate continuously for one hour
Reserve Market Eligibility

• Follow dispatch instructions
• DRR needs 1-minute telemetry to be eligible to provide 10-minute reserves
• On-line
  – Generator: unloaded capacity that is available within 10 or 30 min
  – DR: demand reduction capacity that is available within 10 or 30 min
  – DARD: claim 10 > 0 MW and/or claim 30 > 0 MW
• Off-line fast start generator or DRR
  – Must have established claim 10 or claim 30 value
  – Reserve resources can be paid either in the Forward Reserve Market or in real-time
  – Must meet the physical requirements of a fast-start resource and offer claim 10[30] capability
Interconnection Information
Interconnection Service (OATT)

• If generator > 20 MW, then [OATT Schedule 22](#) LGIP applies

• If generator ≤ 20 MW, then [OATT Schedule 23](#) SGIP applies

Two levels of interconnection service available under the ISO OATT:

• Network resource interconnection service (energy)
• Capacity network resource (CNR) interconnection service (energy and capacity)
  – CNR service requires site control
  – Required for participation in FCA regardless of state or FERC jurisdiction
State Interconnection Process

Applies to the following:

• Retail customer interconnecting a new generating facility who's energy will only be consumed at the retail customer's site

• New generating facility connected to a distribution facility that is subject to the ISO tariff if the generating facility will not be used to make wholesale sales of electricity in interstate commerce

• Qualifying facility (defined by PURPA), where the facility's owner intends to sell all of the output to its interconnected electric utility

If interconnection facilities are not FERC jurisdictional, follow the state tariff
Questions?
Allowable Combinations for Registration
Considerations Before Registration

*FCM Qualification*

- Know basic characteristics before submitting SOI
- Storage may qualify for FCA as either a generator or DR*
- Generators register as same technology type at a location
- Active DR baseline measured at the retail delivery point and there may be multiple technologies behind the meter that contribute to the demand reduction

* Market Rule1, Section III.13
Energy Storage Registration Options

All registration options are contingent upon meeting the technical requirements and completing the application process.
Behind the Meter

• Not registered with ISO
• Not participating in markets
• Load reducer
• Can be part of an ATRR if < 5 MW

If resource is > 5 MW and interconnects under ISO tariff then it must register as generator
Generators

Modeled Generator*

• Offer based on net injection
• Obligation based on net injection at point of interconnection
• Can provide reserves (must sustain for 1 hour)
• Can participate in FCM
• Voltage support
• Blackstart

Settlement-Only Generator

• Not modeled treated as a load reducer

* after Dec 2018 must be dispatchable
Demand Response

- Demand reduction capability of aggregated DR of multiple end-use customers within same reserve and dispatch zone
- Performance measured at the retail delivery point(s) of the end-use customer
- Prior to June 1, 2018, DR registers as a RTDR
- DRR is the resource that is dispatched for energy, or designated to provide reserves, on which performance is measured
- DRRs consist of one or more DRA in the same
  - Dispatch zone
  - Reserve zone
- DRR receives electronic dispatch instructions
# Allowable Combinations by Size

<table>
<thead>
<tr>
<th>≥ 1 MW</th>
<th></th>
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<tbody>
<tr>
<td>Generator</td>
<td>Generator</td>
</tr>
<tr>
<td></td>
<td>Regulation*</td>
</tr>
<tr>
<td>Generator</td>
<td>Generator</td>
</tr>
<tr>
<td></td>
<td>ATRR</td>
</tr>
<tr>
<td>Generator</td>
<td>Generator</td>
</tr>
<tr>
<td>ARD / DARD</td>
<td></td>
</tr>
<tr>
<td>Generator</td>
<td>Generator</td>
</tr>
<tr>
<td>ARD</td>
<td>ATRR</td>
</tr>
<tr>
<td>Generator</td>
<td>Generator</td>
</tr>
<tr>
<td>DARD</td>
<td>Regulation*</td>
</tr>
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</table>

<table>
<thead>
<tr>
<th>&lt; 5 MW</th>
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<tbody>
<tr>
<td>SOG</td>
<td>ATRR</td>
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<td>SOG</td>
<td></td>
</tr>
<tr>
<td>BTM</td>
<td>ATRR</td>
</tr>
</tbody>
</table>

*Can regulate if > 10 MW

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As of April 1, 2019, a facility registered in combination as a dispatchable generator asset, an alternative technology regulating resource (ATRR), and a dispatchable asset-related demand (DARD) that each represent the same equipment **must register as a continuous storage facility.**
Generator + Regulation

- Regulating range $\geq 10$ MW
- Regulate as a generator
- Not ATRR
Generator + ATRR

- Output $\geq 1$ MW
- Non-dispatchable generator*
- Non-aggregated

* On December 1, 2018 becomes dispatchable
Generator + ARD/DARD

• Capability ≥ 1 MW
<table>
<thead>
<tr>
<th>Before December 1, 2018</th>
<th>On December 1, 2018</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Non-dispatchable generator</strong></td>
<td><strong>Dispatchable generator</strong></td>
</tr>
<tr>
<td>• Price taker in energy markets</td>
<td>• Can provide reserves</td>
</tr>
<tr>
<td>• Obligation based on net injection</td>
<td>• Can set price in energy market at same time (generator <em>or</em> ATRR)</td>
</tr>
<tr>
<td>• Cannot provide reserves</td>
<td>• Can participate in FCM</td>
</tr>
<tr>
<td>• Can participate in FCM</td>
<td></td>
</tr>
<tr>
<td><strong>ARD</strong></td>
<td><strong>DARD</strong></td>
</tr>
<tr>
<td>• Wholesale nodal price for consumption</td>
<td>• May register as DARD or ARD</td>
</tr>
<tr>
<td>• Cannot provide reserves</td>
<td></td>
</tr>
<tr>
<td>• Type of load asset</td>
<td></td>
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</tbody>
</table>

*As of April 1, 2019, a facility registered in combination as a dispatchable generator asset, an alternative technology regulating resource (ATRR), and a dispatchable asset-related demand (DARD) that each represent the same equipment must register as a continuous storage facility.*
Generator + ARD/DARD + Regulation

**Regulation**
- Regulating range $\geq 10$ MW
- Regulate as a generator
- Not ATRR

**Net Consumption**
- ARD/DARD
  - Type of load asset
  - Non-dispatchable/dispatchable
  - Wholesale nodal price for consumption
  - Can provide reserves if dispatchable
**SOG + ATRR**

- Output < 5 MW
- ATRR can be aggregated
  - No single sub-resource can be ≥ 1MW
- SOG cannot be aggregated
  - Must be registered separately
• Output must be < 5 MW
• Paid real-time LMP
• Not dispatchable
• Not in our power system model
• Cannot participate in these markets:
  – Reserves
  – Blackstart
  – VAR
  – Day-Ahead Energy Market
Behind the Meter + ATRR

• Can provide regulation
• Choice of dispatch signals
Aggregation Determines Registration Options

Generators
• Must be in ISO footprint
• Must be at same physical location

Demand Response
• DRR must be ≥ 0.1 MW
• Can be aggregated if in same reserve zone and dispatch zone
• DRA cannot be aggregated if ≥ 5 MW

ATRR
• Individual sub-resources must be < 1 MW of regulation capacity
• If sub-resource ≥ 1 MW, must register as a separate ATRR
• All sub-resources must be within the ISO footprint
• Must be > 1 MW to register
## Allowable Combinations – Demand Response Resources

<table>
<thead>
<tr>
<th>Load</th>
<th>Regulation</th>
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<tbody>
<tr>
<td>DRR</td>
<td>DRR</td>
</tr>
<tr>
<td>DRR</td>
<td>ATRR</td>
</tr>
</tbody>
</table>
DRR

• Must be ≥ 0.1 MW
• Receives dispatch instructions
• Offers into energy market
• Dispatched based on offers
• Measured at the retail delivery point
• DRR can be aggregated if in the same reserve zone and dispatch zone
  – If aggregated, no single DRA can be ≥ 5 MW
DRR + ATRR

• ATRR can be aggregated if all sub-resources are within the ISO footprint
  – No single resource ≥ 1 MW
• DRR can be aggregated if in the same reserve zone and dispatch zone
  – If aggregated, no single DRA ≥ 5 MW
Dates to Remember
Dates to Remember

June 1, 2018 – Price Responsive Demand
• Fully integrate DR into the energy and reserve markets

December 2018 – Resource Dispatchability Changes
• Alternative technology participation in the energy market
  – Creates a vehicle for alternative technology resources that both consume and inject energy to participate as dispatchable resource in the energy market
Summary

Market Overview

Resource Types

Allowable Combinations

Dates to Remember
Additional Resources

Available Resources
Additional Training Available

Classroom

• Introduction to Wholesale Electricity Markets ([WEM101](#))
  September 25-29, 2017
• Intermediate Wholesale Electricity Markets ([WEM201](#))
  May 23-25, 2017 and November 14-16, 2017
• Forward Capacity Market ([FCM101](#))
  October 23-26, 2017

Webinars

• FCM Show of Interest for New Generation and Imports
  February 22, 2017 ([presentation and recording](#))
• FCM Show of Interest for New Demand Resources
  February 23, 2017 ([presentation and recording](#))
• FCM New Capacity Qualification for Demand Resources
  May 2, 2017
• FCM New Capacity Qualification for Generation and Imports
  May 3, 2017
Participating in the Markets

Helpful Resources

Applications and Status Changes
- Customer Registration
- Requests to Access Software and Systems
- Financial Assurance and Credit
- Qualified Transmission Project Sponsor Application and Annual Certification
- Enrollment in the New England Transmission Planning Region
- New or Modified Interconnections
- Transmission Service Applications
- Name Changes, Affiliate Updates, Termination, and Other Customer Changes
- Generator, ARD, and ATRR Asset Registration
- Load and Tie-Line Asset Registration

Support
- Customer Readiness 12-Month Outlook
- Request Data and Information
- Mailing Lists
- Web Feeds
- Web Conferencing Support
- User Guides
- Glossary and Acronyms
- Web Browser Support
- Web Services Data
- Library of Customer Support Forms
- Upload and Download File Format Protocols
- FAQs
- Website Help

Rules and Procedures
- Transmission, Markets, and Services Tariff
  - Market Rule 1
- Open Access Transmission Tariff (OATT) Manuals
- ISO Operating Procedures
- System Operating Procedures
- Generator and Non-Generator VAR Capability
- Planning Procedures
- NERC and NPCC Compliance
- System-to-System Operating Instructions

Participant and Asset Listings
- Customer Directory

Training
- Upcoming Courses
- E-Learning Opportunities
- Training Materials
- About ISO-NE

Governing Agreements
- Participants Agreement and NESCOE MOU
- Transmission Operating Agreements
- Inter-Area Operating Agreements and Asset Owner Agreements
- NEPOOL Agreement
- Historical Legal Documents

Regulatory Filings and Orders
- ISO Filings to FERC
- ISO Orders from FERC
- ISO Filings and Orders with Other Federal Agencies
- ISO Filings with States
- PTO Filings and Orders with FERC
- PTO AC Filings and Orders with FERC

Registration information and support for business entities doing business with ISO New England
How to Access Forms

Generator, ARD, and ATRR Asset Registration

Customers who participate in the ISO-administered markets must register their assets with ISO New England for settlement and other market-related purposes. If you are a new customer, you must initiate ISO customer registration before following the instructions below. All steps below must be completed by a person designated as the representative of the Lead Market Participant of the asset.

Register a New Generator Asset
1. Generation assets can register as settlement only or modeled depending on the facility’s interconnection voltage and max net output. Follow the Generator Asset Registration Options Checklist to determine the correct registration choice.
   - For definitions, see Section I of the ISO Tariff.
   - For details on the requirements for modeled generator assets, see the Coordinate New Generation Project Summary and Checklist.

2. Complete the Initial Generation Asset Registration Form. For assistance, reference the Generation Asset Registration Form User Guide. Please note: The ISO will only accept a new asset registration that has been reviewed and signed by the Lead Market Participant and the host system operator.

Participate > Applications and Status Changes > Generator, ARD, and ATRR Asset Registration
Additional Resources

• Whitepaper: *How Energy Storage Can Participate in New England’s Wholesale Electricity Markets*

• Presentation: [FCM Qualification Examples for Storage Technologies](#)

• [Regulation training](#)
Customer Support Information

Ask ISO (preferred)

- Self-service interface for submitting inquiries
- Accessible through the SMD Applications Homepage
- Requires a valid digital certificate with the role of Ask ISO/External User (Contact your security administrator for assistance)

### Other Methods of Contacting Customer Support

<table>
<thead>
<tr>
<th>Method</th>
<th>Contact Information</th>
<th>Availability</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email</td>
<td><a href="mailto:custserv@iso-ne.com">custserv@iso-ne.com</a></td>
<td>Anytime</td>
</tr>
<tr>
<td>Phone*</td>
<td>(413) 540-4220</td>
<td>Monday through Friday 8:00 a.m. to 5:00 p.m. (EST)</td>
</tr>
<tr>
<td>Pager (emergency inquiries)</td>
<td>(877) 226-4814</td>
<td>Outside of regular business hours</td>
</tr>
</tbody>
</table>

* Recorded/monitored conversations
Evaluations
Questions?