



Forward Capacity Market Self-Certification Forms for New Distributed Generation Projects

This form is applicable for use in an interim reconfiguration auction qualification process resulting from a delay to Forward Capacity Auction (FCA) 19.

The three self-certification forms in this package are required only for New Generating Capacity Resources seeking to qualify for participation in the Forward Capacity Market via a point of interconnection NOT subject to the ISO New England Open Access Transmission Tariff (OATT).

Submission Instructions:

All three self-certifications must be filled out completely, signed and dated prior to the close of the New Capacity Show of Interest Submission Window. The completed certifications must be uploaded to the Forward Capacity Tracking System (FCTS) under the "Self-Certifications" document upload field shown in Step 5 of the Show of Interest submission process.

Failure to complete any of the certifications in this package may result in the rejection of your New Capacity Show of Interest Form.

Please contact Participant Support at (413) 540-4220 with any questions.



Interconnection Application Certification

In accordance with Section III.13.1.1.2. of the ISO Tariff, the Project Sponsor (or Lead Market Participant) must have, prior to the close of the New Capacity Show of Interest Submission Window, a valid Interconnection Request for the new Generating Capacity Resource under Schedules 22, 23 or 25 of the OATT. However, in cases where the resource's point of interconnection is NOT subject to the OATT, the state interconnection process applies and an interconnection application with the local distribution company is needed instead of an Interconnection Request with the ISO. By executing this form, the Project Sponsor or Lead Market Participant certifies that the listed resource is interconnecting to a distribution facility that is not subject to the OATT and, accordingly, in order to meet the requirements of Section III.13.1.1.1 of the ISO Tariff, it has a valid interconnection application with the local distribution company.

Capacity Commitment Period: _____
Lead Market Participant ID: _____
Lead Market Participant or Project Sponsor Name: _____
Interconnecting Distribution Company Name: _____
Interconnection Project Tracking Number: _____

Resource ID	Resource Name

I, a duly authorized representative of _____
(Lead Market Participant or Project Sponsor Name)

certify that the information provided in this certification is correct and that the point of interconnection for the listed generation resource is not subject to the OATT. Therefore, to meet the requirements of Section III.13.1.1.2 of the ISO Tariff, I certify that the resource listed above has a valid interconnection application with the local distribution company. I acknowledge that the information provided in this certification is subject to the requirements of Section 35.41 of the Federal Energy Regulatory Commission's regulations (18 C.F.R. § 35.41).

Company Officer Signature: _____
Company Officer Name: _____
Company Officer Title: _____
Date: _____



Site Control Certification

In accordance with Section III.13.1.1.2.2.1. of the ISO Tariff, the Project Sponsor (or Lead Market Participant) must achieve control of a new resource's project site prior to the close of the New Capacity Show of Interest Submission Window. By executing this form, the Project Sponsor or Lead Market Participant certifies that it has achieved full Site Control, as defined in Schedules 22, 23, and 25 of the OATT, for the listed resource.

Capacity Commitment Period: _____

Lead Market Participant ID: _____

Lead Market Participant or Project Sponsor Name: _____

Resource ID	Resource Name

I, a duly authorized representative of _____
(Lead Market Participant or Project Sponsor Name)

certify that the information provided in this certification is correct and that full and direct Site Control (as defined in Schedules 22, 23, and 25 of the OATT) has been achieved for the listed resource in accordance with Section III.13.1.1.2.2.1. of the ISO Tariff. I acknowledge that the information provided in this certification is subject to the requirements of Section 35.41 of the Federal Energy Regulatory Commission's regulations (18 C.F.R. § 35.41).

Company Officer Signature: _____

Company Officer Name: _____

Company Officer Title: _____

Date: _____



Capacity Rights Certification

By executing this form, the Project Sponsor or Lead Market Participant certifies that it has or will have the listed resource's capacity rights [by the start of the Capacity Commitment Period specified below or the Capacity Commitment Period of any reconfiguration auctions the resource seeks to participate in] and that the capacity rights are free and clear of any other potential claims, liens or encumbrances resulting from net-energy metering rules and regulations, state-sponsored incentive programs, or any binding contractual obligations with another Market Participant or any other entity.

Capacity Commitment Period: _____

Lead Market Participant ID: _____

Lead Market Participant or Project Sponsor Name: _____

Resource ID	Resource Name

I, a duly authorized representative of _____
(Lead Market Participant or Project Sponsor Name)

certify that the information provided in this certification is correct and that the listed entity has or will have the capacity rights for the listed resource by the start of the above-referenced Capacity Commitment Period or the Capacity Commitment Period of any reconfiguration auctions the resource seeks to participate in, and that the capacity rights are free and clear of any other potential claims, liens or encumbrances resulting from net-energy metering rules and regulations, state-sponsored incentive programs, or any binding contractual obligations with another Market Participant or any other entity. I acknowledge that the information provided in this certification is subject to the requirements of Section 35.41 of the Federal Energy Regulatory Commission's regulations (18 C.F.R. § 35.41).

Company Officer Signature: _____

Company Officer Name: _____

Company Officer Title: _____

Date: _____