

ISO NEW ENGLAND PLANNING PROCEDURE NO. 5

**PROCEDURE FOR REPORTING NOTICE OF INTENT TO
CONSTRUCT OR CHANGE FACILITIES IN ACCORDANCE
WITH SECTION I.3.9 OF THE
ISO NEW ENGLAND TARIFF
(PROPOSED PLAN APPLICATION PROCEDURE)**

EFFECTIVE DATE: January 7, 2021

**Procedure For Reporting Notice of Intent to Construct or Change Facilities in Accordance
with Section I.3.9 of the ISO New England Tariff
(Proposed Plan Application Procedure)**

INTRODUCTION

Section I.3.9 of the ISO New England Inc. (the “ISO”) Transmission, Markets and Services Tariff (the “Tariff”) requires the submission and review of Proposed Plan Applications for certain system changes to ensure that they will not have a significant adverse effect.

Proposed changes that are subject to Section I.3.9 may also arise from or be subject to Attachment K, Schedule 22, Schedule 23, or Schedule 25 of the Open Access Transmission Tariff (“OATT”), which is Section II of the Tariff. Additions or modifications to the New England Transmission System that are required to accommodate the interconnection of new or modifications to a Generating Facility or an Elective Transmission Upgrade are subject to Schedules 22, 23 and 25 of the OATT. Transmission Upgrades that are required or proposed pursuant to the Regional System Planning Process are subject to Attachment K of the OATT. As included under these Tariff processes, the ISO assesses the impacts of proposed changes to a Market Participant’s or Transmission Owner’s system or facilities, as well as coordinates with other entities that own, operate or control electric systems or facilities, whether within or outside the New England Control Area, that may be affected by the proposed plans.

This Planning Procedure (PP5-0) introduces the series of Planning Procedures that provide the detailed procedures, guidelines and analysis necessary for implementing Section I.3.9 of the Tariff. For purposes of the PP5 series, a Market Participant, Transmission Owner, or other entity that owns, operates or controls an electric system or facility, including, but not limited to, abutting Transmission Owners and Generator Owners, and other parties whose system or facility, whether within or outside the New England Control Area, may be affected by the proposed plan are collectively referred to as “Affected Entities.”

The PP-5 series includes:

Planning Procedure 5-1, “Procedure for Review of Market Participant’s or Transmission Owner’s Proposed Plans”, is the detailed procedure to be followed by the appropriate Market Participants, Transmission Owners, Committees, Subcommittees, and the ISO staff in order to comply with the provisions of Section I.3.9 of the Tariff.

Planning Procedure 5-2 (Deleted)

Planning Procedure 5-3, “Guidelines for Conducting and Evaluating Proposed Plan Application Analyses”, provides guidelines for conducting and evaluating Proposed Plan Application analysis for use by the ISO and Reliability Committee.

Planning Procedure 5-4 (Deleted)

Planning Procedure 5-5, “Requirements and Guidelines for Application of Remedial Action Schemes and Automatic Control Schemes”, provides data reporting requirements and guidelines on the appropriate use of Remedial Action Schemes and Automatic Control Schemes.

Planning Procedure 5-6, “Interconnection Planning Procedure for Generation and Elective Transmission Upgrades”, provides guidance to ensure that the Network Capability Interconnection Standard (“NCIS”) is consistently applied in defining the scope and study assumptions for generator and ETU Interconnection Studies and to provide guidance which ensures that the scope and study assumptions for preliminary nonbinding analyses for generators and certain External ETUs that are eligible to request interconnection under the Capacity Capability Interconnection Standard (“CCIS”) are consistently applied.

Document History¹

- Rev. 0: By the NEPOOL Operations Committee on September 28, 1989
- Rev. 1: By the NEPOOL Operations Committee on September 8, 1993
- Rev. 2: By the NEPOOL Participants Committee on February 4, 2000
- Rev. 3: By the NEPOOL Participants Committee on November 5, 2004
- Rev. 4: Effective February 1, 2005
- Rev. 5: By the NEPOOL Participants Committee on June 5, 2009
- Rev. 6: App. RC – 10/17/17; PC – 11/03/17; ISO-NE – 11/08/17 (elimination of Task Force review)
- Rev. 7: App. RC – 12/18/20; PC – 02/06/20; ISO-NE – 02/06/20 (Removed Section I.3.9 quote due to Tariff changes associated with competitive transmission enhancements. Updated Governance Participant to Market Participant or Transmission Owner.)
- Rev. 8: App. RC – 12/15/20; PC – 01/07/21; ISO-NE – 01/07/21 (Updated reference to PP5-5)

¹ This Document History documents action taken on the equivalent NEPOOL Procedure prior to the RTO Operations Date as well as revisions to the ISO New England Procedure subsequent to the RTO Operations Date.