



ATTACHMENT 4

ISO NEW ENGLAND ADDITIONAL ELIGIBILITY REQUIREMENTS

CERTIFICATION FORM

Certifying Entity: []

I, _____, a duly authorized Senior Officer of _____ (“Certifying Entity”), understanding that ISO New England Inc. is relying on this certification as evidence that Certifying Entity meets the additional eligibility requirements set forth in Section II.A.5 of the ISO New England Financial Assurance Policy (Exhibit IA to Section I of the ISO New England Inc. Transmission, Markets and Services Tariff) (the “Policy”), hereby certify that I have full authority to bind Certifying Entity and further certify as follows:

1. Certifying Entity is now and in good faith will seek to remain (check applicable box(es)):

[] an “appropriate person,” as defined in section(s) [] of the Commodity Exchange Act (7 U.S.C. § 1 et seq.) (specify which section(s) of Commodity Exchange Act sections 4(c)(3)(A) through (J) apply) (if Certifying Entity is relying on section 4(c)(3)(F), it shall accompany this certification with supporting documentation reasonably acceptable to the ISO, provided that letters of credit shall be in the form of Attachment 2 to the ISO New England Financial Assurance Policy. Any such supporting documentation shall serve to establish eligibility under this Section II.A.5 and shall not be counted toward satisfaction of the total financial assurance requirements as calculated pursuant to the ISO New England Financial Assurance Policy);

[] an “eligible contract participant,” as defined in section 1a(18)(A) of the Commodity Exchange Act and in 17 CFR § 1.3(m); or

[] a “person who actively participates in the generation, transmission, or distribution of electric energy,” as defined in the Final Order of the Commodity Futures Trading Commission published at 78 FR 19880 (April 2, 2013).

2. If at any time Certifying Entity no longer satisfies the criteria in paragraph 1 above, Certifying Entity will immediately notify ISO New England in writing and will immediately cease all participation in the New England Markets.

Date: _____

(Signature)

Print: _____

Title: _____



Subscribed and sworn before me _____, a notary public of the State of
_____, in and for the County of _____, this
_____ day of _____, 20_____.

(Notary Public Signature)
My commission expires: _____/_____/_____