

<u>Self-Questionnaire for Retirement of Generator Asset Mapped to a</u> <u>Generating Capacity Resource</u>

A Market Participant must use this self-questionnaire when it is seeking to retire a Generator Asset that is mapped to a Generating Capacity Resource. The purpose of this questionnaire is to ensure that a Market Participant takes all necessary steps to address the Generating Capacity Resource's Forward Capacity Market obligations in order to permit the retirement of the Generator Asset. Failure to complete any necessary steps will result in rejection of the Generator Asset's retirement request.

Review Questions

- 1. Has the Market Participant submitted a Permanent De-List Bid, Retirement De-List Bid or Substitution Auction Demand Bid for the Generating Capacity Resource as part of the Existing Capacity Resource Forward Capacity Auction (FCA) qualification process?
 - → If Yes, proceed to Question 2.
 - → If No, the Generator Asset is not eligible for retirement.
- 2. Has the Market Participant made an election to retire the resource pursuant to Section III.13.1.2.4.1 (a) of the Tariff?
 - → If Yes, proceed to Question 4.
 - → If No, proceed to Question 3.
- 3. Did the submitted Permanent De-List Bid, Retirement De-List Bid or Substitution Auction Demand Bid clear in the relevant FCA?
 - → If Yes, proceed to Question 4.
 - → If No, the Generator Asset is not eligible for retirement.
- 4. Does the Generating Capacity Resource have any Capacity Supply Obligations (CSOs)¹ for Obligation Months that include or are after the Generator Asset's target retirement effective date?
 - → If Yes, proceed to question 5.
 - → If No, the Generator Asset is eligible for retirement. The Market Participant may submit a retirement request for the Generator Asset in the Customer and Asset Management System (CAMS).

¹ CSO must be 0.000 (cannot be positive or negative).

- 5. Are there additional Generator Assets mapped to the Generating Capacity Resource such that the Generating Capacity Resource can fulfill its CSOs for Obligation Months that include or are after the Generator Asset's target effective retirement date?
 - → If Yes, the Generator Asset is eligible for retirement. The Market Participant may submit a retirement request for the Generator Asset in CAMS.
 - → If No, the Generator Asset is not eligible for retirement. The Market Participant for the Generating Capacity Resource must shed all remaining CSOs² that the Generator Asset supports through participation in reconfiguration auctions or Capacity Supply Obligation Bilaterals for Obligation Months that include or are after the requested effective retirement date for the Generator Asset.

 $^{^{2}}$ CSO must be 0.000 (cannot be positive or negative).